

# SURJECTIVITY AND EQUIDISTRIBUTION OF THE WORD $x^a y^b$ ON $\mathrm{PSL}(2, q)$ AND $\mathrm{SL}(2, q)$

TATIANA BANDMAN AND SHELLY GARION

ABSTRACT. We determine the integers  $a, b \geq 1$  and the prime powers  $q$  for which the word map  $w(x, y) = x^a y^b$  is surjective on the group  $\mathrm{PSL}(2, q)$  (and  $\mathrm{SL}(2, q)$ ). We moreover show that this map is almost equidistributed for the family of groups  $\mathrm{PSL}(2, q)$  (and  $\mathrm{SL}(2, q)$ ). Our proof is based on the investigation of the trace map of positive words.

## 1. INTRODUCTION

**1.1. Word maps in finite simple groups.** During the last years there has been a great interest in *word maps* in groups, for an extensive survey see [Se]. These maps are defined as follows. Let  $w = w(x_1, \dots, x_d)$  be a non-trivial *word*, namely a non-identity element of the free group  $F_d$  on the generators  $x_1, \dots, x_d$ . Then we may write  $w = x_{i_1}^{n_1} x_{i_2}^{n_2} \dots x_{i_k}^{n_k}$  where  $1 \leq i_j \leq d$ ,  $n_j \in \mathbb{Z}$ , and we may assume further that  $w$  is reduced. Let  $G$  be a group. For  $g_1, \dots, g_d$  we write

$$w(g_1, \dots, g_d) = g_{i_1}^{n_1} g_{i_2}^{n_2} \dots g_{i_k}^{n_k} \in G,$$

and define

$$w(G) = \{w(g_1, \dots, g_d) : g_1, \dots, g_d \in G\},$$

as the set of values of  $w$  in  $G$ . The corresponding map  $w : G^d \rightarrow G$  is called a *word map*.

Borel [Bo] showed that the word map induced by  $w \neq 1$  on simple algebraic groups is a dominant map. Larsen [La] used this result to show that for every non-trivial word  $w$  and  $\epsilon > 0$  there exists a number  $C(w, \epsilon)$  such that if  $G$  is a finite simple group with  $|G| > C(w, \epsilon)$  then  $|w(G)| \geq |G|^{1-\epsilon}$ . By recent work of Larsen, Shalev and Tiep [LS, LST], for every non-trivial word  $w$  there exists a constant  $C(w)$  such that if  $G$  is a finite simple group satisfying  $|G| > C(w)$  then  $w(G)^2 = G$ .

It is therefore interesting to find words  $w$  for which  $w(G) = G$  for any finite simple non-abelian group  $G$ . Due to immense work spread over more than 50 years, it is now known that the commutator word  $w = [x, y] \in F_2$  satisfies  $w(G) = G$  for any finite simple group  $G$  (see [LOST10] and the references therein). On the other hand, it is easy to see that if  $G$  is a finite group and  $b$  is an integer which is not relatively prime to the order of  $G$  then  $w(G) \neq G$  for the word  $w = x^b$ .

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The words of the form  $w = x^a y^b \in F_2$  have also attracted special interest. Due to recent work of Larsen, Shalev and Tiep [LST], it is known that any such word is surjective for sufficiently large finite simple groups (see [LST, Theorem 1.1.1 and Corollary 1.1.3]), more precisely,

**Theorem 1.1.** [LST]. *Let  $a, b$  be two non-zero integers. Then there exists a number  $N = N(a, b)$  such that if  $G$  is a finite simple non-abelian group of order at least  $N$ , then any element in  $G$  can be written as  $x^a y^b$  for some  $x, y \in G$ .*

By further recent results of Guralnick and Malle [GM] and of Liebeck, O'Brien, Shalev and Tiep [LOST11], some words of the form  $x^b y^b$  are known to be surjective on *all* finite simple groups.

**Theorem 1.2.** [GM, Corollary 1.5]. *Let  $G$  be a finite simple non-abelian group and let  $b$  be either a prime power or a power of 6. Then any element in  $G$  can be written as  $x^b y^b$  for some  $x, y \in G$ .*

Note that in general, the word  $x^b y^b$  is not necessarily surjective on *all* finite simple groups. Indeed, if  $b$  is a multiple of the exponent of  $G$  then necessarily  $x^b y^b = id$  for every  $x, y \in G$ . It is therefore interesting to find more examples for words of the form  $x^b y^b$  which are *not* surjective on all finite simple groups.

More generally, one can ask whether it is possible to generalize Theorem 1.1 for other word maps. In particular, the following conjecture was raised:

**Conjecture 1.3** (Shalev). [Sh07, Conjectures 2.8 and 2.9]. *Let  $w \neq 1$  be a word which is not a proper power of another word. Then there exists a number  $C(w)$  such that, if  $G$  is either  $A_r$  or a finite simple group of Lie type of rank  $r$ , where  $r > C(w)$ , then  $w(G) = G$ .*

It is also interesting to investigate the *distribution* of the word map. Due to the work of Garion and Shalev [GS], it is known that the word  $w = x^2 y^2$  is *almost equidistributed* for the family of finite simple groups, namely,

**Theorem 1.4.** [GS, Theorem 7.1]. *Let  $G$  be a finite simple group, and let  $w : G \times G \rightarrow G$  be the map given by  $w(x, y) = x^2 y^2$ . Then there is a subset  $S \subseteq G$  with  $|S| = (1 - o(1))|G|$  such that  $|w^{-1}(g)| = (1 + o(1))|G|$  for all  $g \in S$ . Where  $o(1)$  denotes a function depending only on  $G$  which tends to zero as  $|G| \rightarrow \infty$ .*

Another question which was raised by Shalev [Sh07, Problem 2.10] is which words  $w$  induce an *almost equidistributed* map for the family of finite simple groups. In particular, does words of the form  $w = x^a y^b$  induce *almost equidistributed* maps?

**1.2. The word  $w(x, y) = x^a y^b$  on the groups  $SL(2, q)$  and  $PSL(2, q)$ .** In this paper we analyze the word map  $x^a y^b$  in the groups  $SL(2, q)$  and  $PSL(2, q)$ . Analysis of Engel word maps in these groups was carried out in our previous work [BGG].

We start by determining precisely the positive integers  $a, b$  and prime powers  $q$  for which the word map  $w = x^a y^b$  is surjective on  $SL(2, q) \setminus \{-id\}$  and on  $PSL(2, q)$ .

**Definition 1.5.** Let  $a, b \geq 1$  and let  $q = p^e$  be a prime power. We say that the word  $w = x^a y^b$  is *non-degenerate* with respect to  $q$  if and only if none of the following conditions holds:

- $p = 2$ ,  $a$  is a multiple of  $2(q^2 - 1)$  and  $b$  is not relatively prime to  $2(q^2 - 1)$ ;
- $p = 2$ ,  $b$  is a multiple of  $2(q^2 - 1)$  and  $a$  is not relatively prime to  $2(q^2 - 1)$ ;
- $p$  is odd,  $a$  is a multiple of  $\frac{p(q^2-1)}{4}$  and  $b$  is not relatively prime to  $\frac{p(q^2-1)}{4}$ ;
- $p$  is odd,  $b$  is a multiple of  $\frac{p(q^2-1)}{4}$  and  $a$  is not relatively prime to  $\frac{p(q^2-1)}{4}$ .

Obviously, if the word map  $w = x^a y^b$  is surjective on  $\mathrm{PSL}(2, q)$  then it is necessarily non-degenerate with respect to  $q$ . On the other hand, we prove the following proposition.

**Proposition 1.6.** *If  $w = x^a y^b$  is non-degenerate with respect to  $q$ , then all semisimple elements, namely matrices in  $\mathrm{SL}(2, q)$  whose trace is not  $\pm 2$ , are in the image of the word map  $w$ .*

Unfortunately, even if  $w = x^a y^b$  is non-degenerate with respect to  $q$ , the image of  $w = x^a y^b$  may not contain the *unipotent* elements, namely, matrices  $\pm \mathrm{id} \neq z \in \mathrm{SL}(2, q)$  satisfying  $\mathrm{tr}(z) = \pm 2$ . This phenomenon happens when one of the following obstructions occurs.

**Definition 1.7.** Let  $a, b \geq 1$  and let  $q$  be a prime power. We define the following *obstructions*:

- *Obstruction (i):*  $q = 2^e$ ,  $e$  is odd, and  $a, b$  are divisible by  $\frac{2(q^2-1)}{3}$ ;
- *Obstruction (ii):*  $q \equiv 3 \pmod{4}$  and  $a, b$  are divisible by  $\frac{p(q^2-1)}{8}$ ;
- *Obstruction (iii):*  $q \equiv 11 \pmod{12}$  and  $a, b$  are divisible by  $\frac{p(q^2-1)}{6}$ ;
- *Obstruction (iv):*  $q \equiv 5 \pmod{12}$  and  $a, b$  are divisible by  $\frac{p(q^2-1)}{12}$ .

**Theorem 1.8.** *Let  $e \geq 1$  and let  $q = 2^e$ . Let  $a, b \geq 1$ .*

*Then the word map  $w = x^a y^b$  is surjective on  $\mathrm{SL}(2, q) = \mathrm{PSL}(2, q)$  if and only if  $w$  is non-degenerate with respect to  $q$  and obstruction (i) does not occur.*

**Theorem 1.9.** *Let  $p$  be an odd prime number,  $e \geq 1$  and  $q = p^e$ . Let  $a, b \geq 1$ .*

*Then the word map  $w = x^a y^b$  is surjective on  $\mathrm{SL}(2, q) \setminus \{-\mathrm{id}\}$  if and only if  $w$  is non-degenerate with respect to  $q$  and none of the obstructions (ii), (iii), (iv) occurs.*

**Theorem 1.10.** *Let  $p$  be an odd prime number,  $e \geq 1$  and  $q = p^e$ . Let  $a, b \geq 1$ .*

*Then the word map  $w = x^a y^b$  is surjective on  $\mathrm{PSL}(2, q)$  if and only if  $w$  is non-degenerate with respect to  $q$  and obstruction (ii) does not occur.*

For example, we deduce that the word  $w = x^{42} y^{42}$  is *not* surjective on the groups  $\mathrm{PSL}(2, 7)$  and  $\mathrm{PSL}(2, 8)$ .

The last theorem implies that for the family of groups  $\mathrm{PSL}(2, q)$  one can give a precise estimation for the bound  $N = N(a, b)$  appearing in Theorem 1.1.

**Corollary 1.11.** *For every  $a, b \geq 1$ , let*

$$Q = Q(a, b) = \max\{\sqrt{3a}, \sqrt{3b}\},$$

$$N = N(a, b) = \max\left\{\frac{3\sqrt{3}}{2}a^{3/2}, \frac{3\sqrt{3}}{2}b^{3/2}\right\}.$$

*Then the word map  $w = x^a y^b$  is surjective on the group  $\mathrm{PSL}(2, q)$  for any  $q > Q$ , and hence whenever  $|\mathrm{PSL}(2, q)| > N$ .*

However, the statement of Theorem 1.1 [LST] no longer holds for the quasi-simple group  $\mathrm{SL}(2, q)$ , as indicated by the following theorem and its corollary.

**Theorem 1.12.** *Let  $q$  be an odd prime power, and set  $K = \max\left\{k : 2^k \text{ divides } \frac{q^2-1}{2}\right\}$ . Let  $a, b \geq 1$ . Then  $-id \neq x^a y^b$  for every  $x, y \in \mathrm{SL}(2, q)$  if and only if  $2^K$  divides both  $a$  and  $b$ .*

**Corollary 1.13.** *If  $q \equiv \pm 3 \pmod{8}$ , then  $x^4 y^4 \neq -id$  for every  $x, y \in \mathrm{SL}(2, q)$ .*

In addition, we show that for any  $a, b \geq 1$ , the word map  $w = x^a y^b$  is *almost equidistributed* for the family of groups  $\mathrm{PSL}(2, q)$  (and  $\mathrm{SL}(2, q)$ ).

**Theorem 1.14.** *Let  $q$  be a prime power and let  $G$  be either the group  $\mathrm{SL}(2, q)$  or the group  $\mathrm{PSL}(2, q)$ .*

*Let  $a, b \geq 1$  and let  $w : G \times G \rightarrow G$  be the map given by  $w(x, y) = x^a y^b$ . Then there is a subset  $S \subseteq G$  with  $|S| = (1 - o(1))|G|$  such that  $|w^{-1}(g)| = (1 + o(1))|G|$  for all  $g \in S$ . Where  $o(1)$  denotes a function of  $q$  which tends to zero as  $q \rightarrow \infty$ .*

**1.3. Organization and outline of the proof.** For the convenience of the reader, we describe the organization of the paper, as well as give a bird's eye view of the proofs.

In Section 2 we compute the *trace map* of the word  $w(x, y) = x^a y^b$  (Lemma 2.3), and more generally, of any *positive* word in  $F_2$  (Theorem 2.5). For any word  $w = w(x, y) \in F_2$ , the trace map  $\mathrm{tr}(w)$  is a polynomial  $P(s, u, t)$  in  $s = \mathrm{tr}(x), t = \mathrm{tr}(y)$  and  $u = \mathrm{tr}(xy)$ .

In Section 3 we collect basic facts on the surjectivity of  $w = x^a y^b$  on finite groups in general, and in Section 4 we describe some properties of the groups  $\mathrm{SL}(2, q)$  and  $\mathrm{PSL}(2, q)$  that are used later on.

By Lemma 2.3,  $\mathrm{tr}(x^a y^b)$  is a *linear* polynomial in  $u$ . We deduce in Section 5 that if neither  $a$  nor  $b$  is divisible by the exponent of  $\mathrm{PSL}(2, q)$ , then any element in  $\mathbb{F}_q$  can be written as  $\mathrm{tr}(x^a y^b)$  for some  $x, y \in \mathrm{SL}(2, q)$  (Corollary 5.3). This immediately implies Proposition 1.6, stating that if  $w = x^a y^b$  is non-degenerate with respect to  $q$ , any *semisimple* element (namely,  $z \in \mathrm{SL}(2, q)$  with  $\mathrm{tr}(z) \neq \pm 2$ ) can be written as  $z = x^a y^b$  for some  $x, y \in \mathrm{SL}(2, q)$ .

However, when  $z$  is *unipotent* (namely,  $z \neq \pm id$  and  $\mathrm{tr}(z) = \pm 2$ ) one has to be more careful, and a detailed analysis is done in Section 8. Indeed, it may happen

that  $w = x^a y^b$  is non-degenerate with respect to  $q$ , but nevertheless the image of the word map  $w = x^a y^b$  does not contain any unipotent (see Propositions 6.4 and 6.5).

These are the ingredients needed for the proofs of Theorems 1.8, 1.9 and 1.10, on the surjectivity of the word  $w = x^a y^b$  on  $\mathrm{PSL}(2, q)$  and  $\mathrm{SL}(2, q) \setminus \{-id\}$ , which are presented in Section 6. In addition, we determine in Section 8.3 when  $-id$  can be written as  $x^a y^b$  for some  $x, y \in \mathrm{SL}(2, q)$ , thus proving Theorem 1.12.

In Section 7 we prove Theorem 1.14 and show that the word map  $w = x^a y^b$  is almost equidistributed for the family of groups  $\mathrm{PSL}(2, q)$  (and  $\mathrm{SL}(2, q)$ ). The basic idea is to show that for a general  $\alpha \in \mathbb{F}_q$ , the surface

$$S_\alpha(\mathbb{F}_q) = \{P(s, u, t) = \mathrm{tr}(z) = \alpha\} \subset \mathbb{A}^3(\mathbb{F}_q)$$

is birational to a plane  $\mathbb{A}_{s,t}^2$ . As a result, we are able not only to find points on  $S_\alpha(\mathbb{F}_q)$ , but even to estimate their number.

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## 2. THE TRACE MAP

**2.1. The trace map.** The *trace map* method is based on the following classical Theorem (see, for example, [Vo, Fr, FK] or [Mag, Go] for a more modern exposition).

**Theorem 2.1** (Trace map). *Let  $F = \langle x, y \rangle$  denote the free group on two generators. Let us embed  $F$  into  $\mathrm{SL}(2, \mathbb{Z})$  and denote by  $\mathrm{tr}$  the trace character. If  $w$  is an arbitrary element of  $F$ , then the character of  $w$  can be expressed as a polynomial*

$$\mathrm{tr}(w) = P(s, u, t)$$

*with integer coefficients in the three characters  $s = \mathrm{tr}(x)$ ,  $u = \mathrm{tr}(xy)$  and  $t = \mathrm{tr}(y)$ .*

Note that the same remains true for the group  $\mathrm{SL}(2, q)$ . The general case,  $\mathrm{SL}(2, R)$ , where  $R$  is a commutative ring, can be found in [CMS].

The following theorem is originally due to Macbeath [Mac] and was used by Bandman, Grunewald, Kunyavskii and Jones to investigate verbal dynamical systems in the group  $\mathrm{SL}(2, q)$  (see [BGKJ, Theorem 3.4]).

**Theorem 2.2.** [Mac, Theorem 1]. *For any  $(s, u, t) \in \mathbb{F}_q^3$  there exist two matrices  $x, y \in \mathrm{SL}(2, q)$  satisfying  $\mathrm{tr}(x) = s$ ,  $\mathrm{tr}(y) = t$  and  $\mathrm{tr}(xy) = u$ .*

**2.2. Trace map of the word**  $w(x, y) = x^a y^b$ . The following Lemma shows that the trace map of the word  $w(x, y) = x^a y^b$  is a linear polynomial in  $\text{tr}(xy)$ .

**Lemma 2.3.** *Let  $w(x, y) = x^a y^b$  where  $a, b \geq 1$  and  $x, y \in \text{SL}(2, q)$ . Let  $s = \text{tr}(x)$ ,  $u = \text{tr}(xy)$ ,  $t = \text{tr}(y)$ . Then*

$$\text{tr } w(x, y) = u \cdot f_{a,b}(s, t) + h_{a,b}(s, t),$$

where

$$f_{a,b}(s, t), h_{a,b}(s, t) \in \mathbb{F}_q[s, t]$$

are polynomials satisfying:

- the highest degree summand of  $f_{a,b}(s, t)$  (of degree  $a + b - 2$ ) is:

$$s^{a-1}t^{b-1};$$

- the highest degree summand of  $h_{a,b}(s, t)$  (of degree  $a + b - 2$ ) is:

$$-(s^a t^{b-2} + s^{a-2} t^b).$$

*Proof.* We need to prove that the polynomials  $f(s, t) = f_{a,b}(s, t)$  and  $h(s, t) = h_{a,b}(s, t)$  satisfy the following properties:

- (i) the coefficient of  $u$ ,  $f(s, t)$ , has precisely one monomial summand  $s^{a-1}t^{b-1}$  with coefficient 1;
- (ii) for all other monomial summands  $c_{i,j}s^i t^j$ ,  $c_{i,j} \in \mathbb{F}_q$ , of  $f(s, t)$ , the following inequalities hold:  $i \leq a - 1$ ,  $j \leq b - 1$ , and  $i + j < a + b - 2$ ;
- (iii)  $h(s, t)$  contains the summand  $(s^a t^{b-2} + s^{a-2} t^b)$  with coefficient  $-1$ ;
- (iv) for all other monomial summands  $c_{i,j}s^i t^j$ ,  $c_{i,j} \in \mathbb{F}_q$ , of  $h(s, t)$ , the following inequalities hold:  $i \leq a - 2$ ,  $j \leq b - 2$ , and so  $i + j \leq a + b - 4$ .

We prove these properties by induction on  $a + b$ , using the well-known formula

$$(1) \quad \text{tr}(AB) + \text{tr}(AB^{-1}) = \text{tr}(A) \text{tr}(B).$$

*Induction base.*  $a \leq 3, b \leq 3$ . In these cases,

$$\text{tr}(xy) = u, \text{tr}(x^2 y) = us - t, \text{tr}(xy^2) = ut - s, \text{tr}(x^2 y^2) = ust - s^2 - t^2 + 2,$$

$$\text{tr}(xy^3) = (t^2 - 1)u - st, \text{tr}(x^3 y) = (s^2 - 1)u - st,$$

$$\text{tr}(x^2 y^3) = (st^2 - s)u - s^2 t - t^3 + 3t, \text{tr}(x^3 y^2) = (s^2 t - t)u - s^3 - st^2 + 3s,$$

$$\text{tr}(x^3 y^3) = (s^2 t^2 - s^2 - t^2 + 1)u - s^3 t - st^3 + 4st.$$

*Induction hypothesis.* Assume that the Lemma is valid for  $a + b < n$  for some  $n \geq 5$ .

*Induction Step.* We prove the claim for  $a + b = n$ , by considering the following cases:

**Case 1.**  $w(x, y) = x^a y^b$ ,  $a \geq b$ .

Using (1) we get:

$$\begin{aligned}
 \text{tr}(x^a y^b) &= \text{tr}(x) \text{tr}(x^{a-1} y^b) - \text{tr}(x y^{-b} x^{-a+1}) \\
 &= \text{tr}(x) \text{tr}(x^{a-1} y^b) - \text{tr}(x^{a-2} y^b) \\
 &= s(u \cdot f_{a-1,b}(s, t) + h_{a-1,b}(s, t)) - (u \cdot f_{a-2,b}(s, t) + h_{a-2,b}(s, t)) \\
 &= u(s \cdot f_{a-1,b}(s, t) - f_{a-2,b}(s, t)) + (s \cdot h_{a-1,b}(s, t) - h_{a-2,b}(s, t)) \\
 &= u \cdot f_{a,b}(s, t) + h_{a,b}(s, t).
 \end{aligned}$$

By the induction hypothesis, the resulting polynomial:

- (i) is linear in  $u$ ;
- (ii) the highest degree summand of  $f_{a,b}(s, t)$  is:

$$s s^{(a-1)-1} t^{b-1} = s^{a-1} t^{b-1};$$

- (iii) for all other monomial summands  $c_{i,j} s^i t^j$  of  $f(s, t)$  the following inequalities hold:  $i \leq (a-1) - 1 + 1 = a-1$ ,  $j \leq b-1$ , and

$$i + j < (a-1) + 1 + b - 2 = a + b - 2;$$

- (iv) the highest degree summand in  $h(s, t)$  is:

$$-s(s^{a-1} t^{b-2} + s^{(a-1)-2} t^b) = -(s^a t^{b-2} + s^{a-2} t^b).$$

- (v) for all other monomial summands  $c_{i,j} s^i t^j$  of  $h(s, t)$  the following inequalities hold:  $i \leq (a-1) - 2 + 1 = a-2$ ,  $j \leq b-2$ , and so

$$i + j \leq (a-1) + 1 + b - 4 = a + b - 4;$$

**Case 2.**  $w(x, y) = x^a y^b$ ,  $a < b$ .

Similarly we get:

$$\begin{aligned}
 \text{tr}(x^a y^b) &= \text{tr}(x^a y^{b-1}) \text{tr}(y) - \text{tr}(x^a y^{b-1} y^{-1}) \\
 &= \text{tr}(y) \text{tr}(x^a y^{b-1}) - \text{tr}(x^a y^{b-2}) \\
 &= t(u \cdot f_{a,b-1}(s, t) + h_{a,b-1}(s, t)) - (u \cdot f_{a,b-2}(s, t) + h_{a,b-2}(s, t)) = \\
 &= u(t \cdot f_{a,b-1}(s, t) + f_{a,b-2}(s, t)) + (t \cdot h_{a,b-1}(s, t) - h_{a,b-2}(s, t)) = \\
 &= u \cdot f_{a,b}(s, t) + h_{a,b}(s, t).
 \end{aligned}$$

Similarly to Case 1 we get a polynomial satisfying the desired properties.  $\square$

**Remark 2.4.** Assume that  $a, b \neq 0$  but not necessarily positive. Since  $\text{tr}(xy^{-1}) = st - u$ , we deduce from Lemma 2.3 that

$$\text{tr}(x^a y^b) = u \cdot f_{a,b}(s, t) + h_{a,b}(s, t),$$

where the highest degree summand of  $f_{a,b}(s, t)$  (of degree  $a + b - 2$ ) is  $\pm s^{a-1} t^{b-1}$ .

**2.3. Trace map of positive words.** We can moreover compute the trace map for any *positive* word in  $F_2$ , namely for any word of the form  $w = x^{a_1}y^{b_1} \dots x^{a_k}y^{b_k}$  where

$$a_2, \dots, a_k, b_1, \dots, b_{k-1} \geq 1 \text{ and } a_1, b_k \geq 0.$$

We note that we can consider only words of the form  $w = x^{a_1}y^{b_1} \dots x^{a_k}y^{b_k}$  where  $a_1, b_1, \dots, a_k, b_k \geq 1$ , and then we call  $k$  the “length” of this word.

Indeed, if  $b_k = 0$  then  $\text{tr}(x^{a_1}y^{b_1} \dots x^{a_{k-1}}y^{b_{k-1}}x^{a_k}) = \text{tr}(x^{a_1+a_k}y^{b_1} \dots x^{a_{k-1}}y^{b_{k-1}})$ , is the trace map of a positive word of length  $k - 1$ .

**Theorem 2.5.** *Let  $G = \text{SL}(2, q)$  and let*

$$w = x^{a_1}y^{b_1} \dots x^{a_k}y^{b_k}, \quad a_1, b_1, \dots, a_k, b_k \geq 1.$$

*Denote  $s = \text{tr}(x), u = \text{tr}(xy), t = \text{tr}(y)$ , and  $A = \sum_{i=1}^k a_i, B = \sum_{i=1}^k b_i$ .*

*Then  $\text{tr}(w) = P(s, u, t) = \sum_{r=0}^k u^r p_r(s, t)$ , where*

- $p_k(s, t) = s^{A-k}t^{B-k} + \Phi(s, t)$  *is a polynomial in  $s, t$  and*

$$\deg_s \Phi(s, t) \leq A - k, \quad \deg_t \Phi(s, t) \leq B - k,$$

$$\deg_s \Phi(s, t) + \deg_t \Phi(s, t) < A + B - 2k;$$

- *for all  $r < k$ ,*

$$\deg_s p_r(s, t) \leq A - k, \quad \deg_t p_r(s, t) \leq B - k.$$

*Proof.* The proof is by induction on  $k$ . The case  $k = 1$  was treated in Lemma 2.3.

We may always assume that  $a_1 \leq a_k$ . Let

$$w_1(x, y) = x^{a_1}y^{b_1} \dots x^{a_{k-1}}y^{b_{k-1}},$$

$$w_2(x, y) = x^{a_k}y^{b_k},$$

$$w_3(x, y) = x^{a_1-a_k}y^{b_1} \dots x^{a_{k-1}}y^{b_{k-1}-b_k}.$$

Then, by (1),

$$\begin{aligned} \text{tr}(w) &= \text{tr}(x^{a_1}y^{b_1} \dots x^{a_k}y^{b_k}) \\ (2) \quad &= \text{tr}(x^{a_1}y^{b_1} \dots x^{a_{k-1}}y^{b_{k-1}}) \text{tr}(x^{a_k}y^{b_k}) - \text{tr}(x^{a_1-a_k}y^{b_1} \dots x^{a_{k-1}}y^{b_{k-1}-b_k}) \\ &= \text{tr}(w_1(x, y)) \text{tr}(w_2(x, y)) - \text{tr}(w_3(x, y)), \end{aligned}$$

By the induction assumption we have

$$\text{tr}(w_1) = P_1(s, u, t) = \sum_{r=0}^{k-1} u^r \tilde{p}_r(s, t),$$

$$\text{tr}(w_2) = uf + h,$$

$$\text{tr}(w_3) = Q(s, u, t),$$

and

- $\tilde{p}_{k-1}(s, t) = s^{A-a_k-k+1}t^{B-b_k-k+1} + \Phi_1(s, t);$
- $\deg_s \Phi_1(s, t) \leq A - a_k - k + 1, \quad \deg_t \Phi_1(s, t) \leq B - b_k - k + 1,$  and  $\deg_s \Phi_1(s, t) + \deg_t \Phi_1(s, t) < A + B - a_k - b_k - 2k + 2;$

- $\deg_s f = a_k - 1$ ,  $\deg_t f = b_k - 1$ .

We want to show that

$$(3) \quad \deg_u Q < k, \quad \deg_s Q \leq A - k, \quad \deg_t Q \leq B - k.$$

Since

$$\text{tr}(w) = P(s, u, t) = P_1(s, u, t)(uf + h) - Q(s, u, t),$$

the theorem would follow from (3).

Consider the following cases.

**Case 1.**  $w_3(x, y)$  is a positive word.

Then its length is either  $k - 1$ , if both  $a = a_1 - a_k > 0$  and  $b = b_{k-1} - b_k > 0$ , or  $k - 2$  if  $a = 0$  or  $b = 0$ . Anyway,  $\deg_u Q < k$ ,  $\deg_s Q \leq A - 2a_k - k + 2 \leq A - k$ , and  $\deg_t Q \leq B - 2b_k - k + 2 \leq B - k$ , as needed.

**Case 2.**  $a_1 - a_k > 0$ ,  $b_{k-1} - b_k < 0$ .

Then

$$\text{tr}(w_3) = Q(s, u, t) = \text{tr}(w_4(x, y)) \text{tr}(y^{b_k - b_{k-1}}) - \text{tr}(w_5(x, y)),$$

where

$$\begin{aligned} w_4(x, y) &= x^{a_1 - a_k + a_{k-1}} y^{b_1} \dots x^{a_{k-2}} y^{b_{k-2}}, \\ w_5(x, y) &= x^{a_1 - a_k} y^{b_1} \dots x^{a_{k-2}} y^{b_{k-2}} x^{a_{k-1}} y^{b_k - b_{k-1}}. \end{aligned}$$

Thus,  $w_4$  is a word of length  $k - 2$  and  $w_5$  has length  $k - 1$ , and both are positive words. Let  $Q_1(s, u, t) = \text{tr}(w_4(x, y))$ ,  $Q_2(s, u, t) = \text{tr}(w_5(x, y))$ .

By the induction assumption,

$$\begin{aligned} \deg_u Q_1 &= k - 2, \quad \deg_s Q_1 \leq A - 2a_k - k + 2 \leq A - k, \quad \deg_t Q_1 \leq B - b_k - b_{k-1} - k + 2; \\ \deg_u Q_2 &= k - 1, \quad \deg_s Q_2 \leq A - 2a_k - k + 1 \leq A - k, \quad \deg_t Q_2 \leq B - 2b_{k-1} - k + 1 \leq B - k. \end{aligned}$$

Moreover,  $T_1(t) = \text{tr}(y^{b_k - b_{k-1}})$  is a polynomial in  $t$  of degree  $b_k - b_{k-1}$ , thus

$$\deg_t Q_1 T_1(t) \leq B - b_k - b_{k-1} - k + 2 + b_k - b_{k-1} = B - 2b_{k-1} - k + 2 \leq B - k.$$

Hence, for  $Q = Q_1 T_1(t) - Q_2$  condition (3) is valid.

**Case 3.**  $a_1 - a_k = 0$ ,  $b_{k-1} - b_k < 0$ .

In this case  $w_3(x, y) = y^{b_1} \dots x^{a_{k-1}} y^{b_{k-1} - b_k}$  and

$$Q(s, u, t) = \text{tr}(x^{a_2} \dots x^{a_{k-1}} y^{b_{k-1} - b_k + b_1}).$$

If  $b_{k-1} - b_k + b_1 \geq 0$  then the word is positive of length  $k - 2$  and (3) is valid.

If  $b_{k-1} - b_k + b_1 < 0$  then we perform the procedure described in **Case 2** for computing

$$Q(s, u, t) = \text{tr}(x^{a_2} \dots x^{a_{k-1}} y^{b_{k-1} - b_k + b_1}) = \text{tr}(w_4(x, y)) \text{tr}(y^{b_k - b_{k-1} - b_1}) - \text{tr}(w_5(x, y)),$$

where

$$\begin{aligned} w_4(x, y) &= x^{a_2 + a_{k-1}} y^{b_2} \dots x^{a_{k-2}} y^{b_{k-2}}, \\ w_5(x, y) &= x^{a_2} y^{b_2} \dots x^{a_{k-2}} y^{b_{k-2}} x^{a_{k-1}} y^{-b_{k-1} + b_k - b_1}. \end{aligned}$$

The Length of  $w_4$  is  $k - 3$ , and the length of  $w_5$  is  $k - 2$ . Hence,  $\deg_u Q = k - 2$ . Moreover,  $\deg_s Q \leq A - 2a_k - k + 2 \leq A - k$  and

$$\deg_t Q \leq B - b_1 - b_{k-1} - b_k - b_{k-1} + b_k - b_1 - k + 2 \leq B - k.$$

Once more, we find out that conditions (3) are met by  $Q$ .  $\square$

**Remark 2.6.** Assume that  $a_i, b_i \neq 0$  but not necessarily positive. In view of Remark 2.4, for the word  $w = x^{a_1}y^{b_1} \dots x^{a_k}y^{b_k}$  equation (2) implies that

$$(4) \quad \text{tr}(w) = \sum_0^k u^r G_r(s, t) \text{ and } G_k(s, t) = \prod_{i=1}^k f_{a_i, b_i}.$$

### 3. BASIC FACTS ON THE WORD $w(x, y) = x^a y^b$ AND FINITE GROUPS

In this section we present some elementary facts regarding the surjectivity of the word map  $w = x^a y^b$  on finite groups.

**Proposition 3.1.** *Let  $G$  be a finite group and let  $a$  be an integer. Then the word map corresponding to  $w = x^a$  is surjective on  $G$  if and only if  $\gcd(|G|, a) = 1$ .*

*Proof.* Let  $d = \gcd(|G|, a)$ . If  $d > 1$  then there exists some prime  $p$  which divides both  $a$  and  $|G|$ . Thus,  $G$  contains some element  $g \neq id$  of order  $p$ , and moreover,

$$g^a = (g^p)^{a/p} = id.$$

Hence the word map  $w = x^a$  is not 1 to 1, and cannot be surjective on  $G$ .

If  $d = 1$  then there exists some integer  $l$  s.t.  $l \cdot a \equiv 1 \pmod{|G|}$ . Let  $g \in G$  and take  $x = g^l$ , then

$$x^a = (g^l)^a = g^{l \cdot a} = g^1 = g,$$

as needed.  $\square$

**Proposition 3.2.** *Let  $G$  be a finite group and let  $a, b$  be two relatively prime integers. Then the word map corresponding to  $w = x^a y^b$  is always surjective on  $G$ .*

*Proof.* Since  $a, b$  are relatively prime, there exist integers  $k, l$  s.t.  $k \cdot a + l \cdot b = 1$ . Let  $g \in G$  and take  $x = g^k$  and  $y = g^l$ , then  $x^a y^b = g^{k \cdot a} g^{l \cdot b} = g^{k \cdot a + l \cdot b} = g^1 = g$ .  $\square$

**Proposition 3.3.** *Let  $G$  be a finite group and let  $a, b$  be two integers. If either  $a$  or  $b$  is relatively prime to  $|G|$  then the word map corresponding to  $w = x^a y^b$  is surjective on  $G$ .*

*Proof.* Assume that  $a$  is relatively prime to  $|G|$ . Then there exists some integer  $l$  s.t.  $l \cdot a \equiv 1 \pmod{|G|}$ . Then for every  $g \in G$ , take  $x = g^l$  and  $y = id$ . Thus,

$$x^a y^b = (g^l)^a \cdot id^b = g^{l \cdot a} \cdot id = g^1 = g.$$

$\square$

**Remark 3.4.** Let  $G$  be a finite group and let  $w = x^a y^b$ . We can always assume that  $0 \leq a, b < \exp(G)$ . Moreover, if  $G$  is of even order, we can assume that  $0 \leq a, b \leq \exp(G)/2$ .

Indeed, let  $a_1 = a \bmod \exp(G)$  and  $b_1 = b \bmod \exp(G)$ , then for every  $x, y \in G$ ,  $x^a y^b = x^{a_1} y^{b_1}$ . Hence,  $x^a y^b$  is surjective on  $G$  if and only if  $x^{a_1} y^{b_1}$  is surjective on  $G$ .

If  $\exp(G)$  is even, let

$$a_2 = \begin{cases} a_1 & \text{if } a_1 \leq \exp(G)/2 \\ \exp(G) - a_1 & \text{if } a_1 > \exp(G)/2 \end{cases} \text{ and } b_2 = \begin{cases} b_1 & \text{if } b_1 \leq \exp(G)/2 \\ \exp(G) - b_1 & \text{if } b_1 > \exp(G)/2 \end{cases}.$$

Then, for every  $x, y \in G$ ,  $x^{a_1} y^{b_1} = x^{\epsilon_1 a_2} y^{\epsilon_2 b_2}$ , where  $\epsilon_1, \epsilon_2 \in \{\pm 1\}$ , and

$$\begin{aligned} \{z = x^{a_2} y^{b_2} : x, y \in G\} &= \{z = x^{-a_2} y^{b_2} : x, y \in G\} \\ &= \{z = x^{a_2} y^{-b_2} : x, y \in G\} = \{z = x^{-a_2} y^{-b_2} : x, y \in G\}. \end{aligned}$$

#### 4. PROPERTIES OF THE GROUPS $\text{SL}(2, q)$ AND $\text{PSL}(2, q)$

In this section we summarize some well-known properties of the groups  $\text{SL}(2, q)$  and  $\text{PSL}(2, q)$  (see for example [Do] and [Su]).

Let  $q = p^e$ , where  $p$  is a prime number and  $e \geq 1$ . Recall that  $\text{GL}(2, q)$  is the group of invertible  $2 \times 2$  matrices over the finite field with  $q$  elements, which we denote by  $\mathbb{F}_q$ , and  $\text{SL}(2, q)$  is the subgroup of  $\text{GL}(2, q)$  comprising the matrices with determinant 1. Then  $\text{PGL}(2, q)$  and  $\text{PSL}(2, q)$  are the quotients of  $\text{GL}(2, q)$  and  $\text{SL}(2, q)$  by their respective centers. Also recall that  $\text{PSL}(2, q)$  is *simple* for  $q \neq 2, 3$ .

$$\text{Denote } d = \gcd(2, q - 1) = \begin{cases} 1 & \text{if } q \text{ is even} \\ 2 & \text{if } q \text{ is odd} \end{cases}.$$

Then the orders of  $\text{SL}(2, q)$  and  $\text{PSL}(2, q)$  are  $q(q - 1)(q + 1)$  and  $\frac{1}{d}q(q - 1)(q + 1)$  respectively, and their respective exponents are  $\frac{1}{d}p(q^2 - 1)$  and  $\frac{1}{d^2}p(q^2 - 1)$ .

One can classify the elements of  $\text{SL}(2, q)$  according to their possible Jordan forms. The following Table 1 lists the three types of (non-trivial) elements, according to whether the characteristic polynomial  $P_t(\lambda) := \lambda^2 - t\lambda + 1$  of the matrix  $A \in \text{SL}(2, q)$  (where  $t = \text{tr}(A)$ ) has 0, 1 or 2 distinct roots in  $\mathbb{F}_q$ .

Table 1 shows that there is a deep connection between *traces* of elements in  $\text{SL}(2, q)$ , their *orders* and their *conjugacy classes*, as is expressed in the following Lemmas.

##### Lemma 4.1.

- If  $q$  is odd, then  $x \in \text{SL}(2, q)$  has order 4 if and only if  $\text{tr}(x) = 0$ .
- $x \in \text{SL}(2, q)$  has order 3 if and only if  $\text{tr}(x) = -1$ .
- If  $p \geq 5$ , then  $x \in \text{SL}(2, q)$  has order 6 if and only if  $\text{tr}(x) = 1$ .

Moreover, for any  $x \in \text{SL}(2, q)$  satisfying  $\text{tr}(x) \neq 0, \pm 1, \pm 2$ , there exists some  $y \in \text{SL}(2, q)$  such that  $\text{tr}(x) \neq \text{tr}(y)$ , but the orders of  $x$  and  $y$  are the same.

| element type         | roots of $P_t(\lambda)$ | canonical form in $\mathrm{SL}(2, \overline{\mathbb{F}}_p)$                                                                                                                       | order in $\mathrm{SL}(2, q)$ | order in $\mathrm{PSL}(2, q)$ | conjugacy classes in $\mathrm{SL}(2, q)$                  |
|----------------------|-------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|-------------------------------|-----------------------------------------------------------|
| $id$                 | 1 root                  | $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$                                                                                                                                    | 1                            | 1                             | one element                                               |
| $-id$                | 1 root                  | $\begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$                                                                                                                                  | $d$                          | 1                             | one element                                               |
| unipotent            | 1 root                  | $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$<br>$t = 2$                                                                                                                         | $p$                          | $p$                           | $d$ conjugacy classes<br>each of size $\frac{q^2-1}{d}$   |
|                      | 1 root                  | $\begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}$<br>$t = -2$                                                                                                                      | $dp$                         | $p$                           | $d$ conjugacy classes<br>each of size $\frac{q^2-1}{d}$   |
| semisimple split     | 2 roots                 | $\begin{pmatrix} \alpha & 0 \\ 0 & \alpha^{-1} \end{pmatrix}$<br>where $\alpha \in \mathbb{F}_q^*$<br>and $\alpha + \alpha^{-1} = t$                                              | divides<br>$q - 1$           | divides<br>$\frac{q-1}{d}$    | for each $t$ :<br>one conjugacy class<br>of size $q(q+1)$ |
| semisimple non-split | no roots                | $\begin{pmatrix} \alpha & 0 \\ 0 & \alpha^q \end{pmatrix}$<br>where $\alpha \in \mathbb{F}_{q^2}^* \setminus \mathbb{F}_q^*$<br>$\alpha^{q+1} = 1$<br>and $\alpha + \alpha^q = t$ | divides<br>$q + 1$           | divides<br>$\frac{q+1}{d}$    | for each $t$ :<br>one conjugacy class<br>of size $q(q-1)$ |

TABLE 1. Elements in the groups  $\mathrm{SL}(2, q)$  and  $\mathrm{PSL}(2, q)$ .

*Proof.* If  $m > 2$  is an integer dividing  $q-1$  then  $\mathbb{F}_q \setminus \{0, 1, -1\}$  contains  $\phi(m)$  elements of order  $m$ , where  $\phi$  denotes *Euler's phi function*. Similarly, if  $m > 2$  divides  $q+1$  then  $\mathbb{F}_{q^2} \setminus \mathbb{F}_q$  contains  $\phi(m)$  elements  $\alpha$  of order  $m$  satisfying  $\alpha^{q+1} = 1$ .

Hence, if  $m > 2$  divides either  $q-1$  or  $q+1$ , then

$$\#\{t \in \mathbb{F}_q : t = \mathrm{tr}(x), x \in \mathrm{SL}(2, q), |x| = m\} = \frac{\phi(m)}{2}.$$

The claim follows from the fact that  $\phi(m) \geq 4$  if and only if  $m \neq 1, 2, 3, 4, 6$ .  $\square$

**Lemma 4.2.** *Assume that  $q$  is odd, take  $\lambda \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$  satisfying  $\lambda^2 \in \mathbb{F}_q$ , and let  $g = \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix}$ . Then for any  $x \in \mathrm{SL}(2, q)$ ,  $gxg^{-1} \in \mathrm{SL}(2, q)$ , and moreover,*

- *If  $\mathrm{tr}(x) = 2$  then exactly one of  $x, gxg^{-1}$  is conjugate in  $\mathrm{SL}(2, q)$  to  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ;*
- *If  $\mathrm{tr}(x) = -2$  then exactly one of  $x, gxg^{-1}$  is conjugate in  $\mathrm{SL}(2, q)$  to  $\begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}$ .*

*Proof.* Let  $x = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathrm{SL}(2, q)$ , then  $gxg^{-1} = \begin{pmatrix} \alpha & \beta\lambda^2 \\ \gamma\lambda^{-2} & \delta \end{pmatrix} \in \mathrm{SL}(2, q)$ .

Moreover, if  $x = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  then  $gxg^{-1} = \begin{pmatrix} 1 & \lambda^2 \\ 0 & 1 \end{pmatrix}$  is not conjugate to  $x$  in  $\mathrm{SL}(2, q)$ , since  $\lambda^2$  is not a square of some element in  $\mathbb{F}_q$ .  $\square$

**Corollary 4.3.** *Let  $w \in F_2$  be some non-trivial word, let  $z \neq \pm id$  be some matrix in  $\mathrm{SL}(2, q)$ , and assume that  $z$  can be written as  $z = w(x, y)$  for some  $x, y \in \mathrm{SL}(2, q)$ . Then for any matrix  $\pm id \neq z' \in \mathrm{SL}(2, q)$  with  $\mathrm{tr}(z') = \mathrm{tr}(z)$  there exist  $x', y' \in \mathrm{SL}(2, q)$  such that  $z' = w(x', y')$ .*

*Proof.* If  $q$  is even, or if  $q$  is odd and  $\mathrm{tr}(z) \neq \pm 2$ , then necessarily  $z' = hzh^{-1}$  for some  $h \in \mathrm{SL}(2, q)$ , so one can take  $x' = h x h^{-1}$  and  $y' = h y h^{-1}$ , and then

$$w(x', y') = w(h x h^{-1}, h y h^{-1}) = h w(x, y) h^{-1} = h z h^{-1} = z'.$$

Assume that  $q$  is odd, and let  $z' \in \mathrm{SL}(2, q)$  be some element with  $\mathrm{tr}(z') = 2 = \mathrm{tr}(z)$ , then by Lemma 4.2,  $z'$  is either conjugate in  $\mathrm{SL}(2, q)$  to  $z$  or to  $gzg^{-1}$  (where  $g \in \mathrm{SL}(2, q^2)$ ).

If  $z' = hzh^{-1}$  for some  $h \in \mathrm{SL}(2, q)$ , take  $x' = h x h^{-1}$  and  $y' = h y h^{-1}$ , and then

$$w(x', y') = w(h x h^{-1}, h y h^{-1}) = h w(x, y) h^{-1} = h z h^{-1} = z'.$$

If  $z' = hgzg^{-1}h^{-1}$  for some  $h \in \mathrm{SL}(2, q)$ , take  $x' = hgxg^{-1}h^{-1}$  and  $y' = hgyg^{-1}h^{-1}$ , and then  $x', y' \in \mathrm{SL}(2, q)$  and moreover,

$$w(x', y') = w(hgxg^{-1}h^{-1}, hgyg^{-1}h^{-1}) = hgw(x, y)g^{-1}h^{-1} = hgzg^{-1}h^{-1} = z'.$$

Similarly, if  $\mathrm{tr}(z') = -2 = \mathrm{tr}(z)$ , then  $z' = w(x', y')$  for some  $x', y' \in \mathrm{SL}(2, q)$ .  $\square$

## 5. SURJECTIVITY OF THE TRACE MAP OF $w(x, y) = x^a y^b$ ON $\mathbb{F}_q$

Recall that by Lemma 2.3, the trace map of  $w(x, y) = x^a y^b$  can be written as

$$\mathrm{tr} w(x, y) = u \cdot f_{a,b}(s, t) + h_{a,b}(s, t).$$

The following proposition shows that if neither  $a$  nor  $b$  is divisible by the exponent of  $\mathrm{PSL}(2, q)$ , then the polynomial  $f_{a,b}(s, t)$  does not vanish identically on  $\mathbb{A}_{s,t}^2(\mathbb{F}_q)$ .

**Proposition 5.1.** *Let  $a, b \geq 1$  and assume that neither  $a$  nor  $b$  is divisible by  $\frac{p(q^2-1)}{d^2}$ . Then  $f_{a,b}(s, t)$  does not vanish identically on  $\mathbb{A}_{s,t}^2(\mathbb{F}_q)$ .*

*In particular, the following table summarizes the possible nine cases.*

|                         | $p \nmid a$              | $\frac{q-1}{d} \nmid a$    | $\frac{q+1}{d} \nmid a$    |
|-------------------------|--------------------------|----------------------------|----------------------------|
| $p \nmid b$             | $f_{a,b}(2, 2) \neq 0$   | $f_{a,b}(s_1, 2) \neq 0$   | $f_{a,b}(s_2, 2) \neq 0$   |
| $\frac{q-1}{d} \nmid b$ | $f_{a,b}(2, t_1) \neq 0$ | $f_{a,b}(s_1, t_1) \neq 0$ | $f_{a,b}(s_2, t_1) \neq 0$ |
| $\frac{q+1}{d} \nmid b$ | $f_{a,b}(2, t_2) \neq 0$ | $f_{a,b}(s_1, t_2) \neq 0$ | $f_{a,b}(s_2, t_2) \neq 0$ |

where:

$$\begin{aligned} s_1 &= \text{tr}(x_1), \ x_1 \text{ is any element of order } q-1; \\ s_2 &= \text{tr}(x_2), \ x_2 \text{ is any element of order } q+1; \\ t_1 &= \text{tr}(y_1), \ y_1 \text{ is any element of order } q-1; \\ t_2 &= \text{tr}(y_2), \ y_2 \text{ is any element of order } q+1. \end{aligned}$$

*Proof.* If  $f_{a,b}(s, t)$  vanishes identically on  $\mathbb{A}_{s,t}^2(\mathbb{F}_q)$ , then  $\text{tr } w(x, y) = h_{a,b}(s, t)$  does not depend on  $u$ . We have to show that it is not the case for every  $\mathbb{F}_q$ . Take

$$x(\lambda, c) = \begin{pmatrix} \lambda & c \\ 0 & \frac{1}{\lambda} \end{pmatrix}, \quad y(\mu, d) = \begin{pmatrix} \mu & 0 \\ d & \frac{1}{\mu} \end{pmatrix}.$$

Then for any  $m, n$ ,

$$x(\lambda, u)^n = \begin{pmatrix} \lambda^n & ch_n(\lambda) \\ 0 & \frac{1}{\lambda^n} \end{pmatrix}, \quad y(\mu, v)^m = \begin{pmatrix} \mu^m & 0 \\ dh_m(\mu) & \frac{1}{\mu^m} \end{pmatrix}.$$

**Lemma 5.2.**

$$h_n(\zeta) = \frac{\zeta^{2n} - 1}{\zeta^{n-1}(\zeta^2 - 1)}.$$

*Proof.* We use induction on  $n$ . For  $n = 1$  we have  $h_n(\zeta) = 1$ .

Assume that for  $n > 1$  it is proved. Then, by computing  $x(\zeta, c)^{n+1} = x^n x$  and  $y(\zeta, d)^{n+1} = y^n y$  from the induction assumption we obtain, respectively,

$$(5) \quad h_{n+1}(\zeta) = \zeta^n + \frac{h_n(\zeta)}{\zeta}; \quad h_{n+1}(\zeta) = \zeta h_n(\zeta) + \frac{1}{\zeta^n}.$$

Both relation lead to the same result:

$$\begin{aligned} h_{n+1}(\zeta) &= \zeta^n + \frac{\zeta^{2n} - 1}{\zeta^n(\zeta^2 - 1)} = \frac{\zeta^{2n}(\zeta^2 - 1) + \zeta^{2n} - 1}{\zeta^n(\zeta^2 - 1)} = \frac{\zeta^{2n+2} - 1}{\zeta^n(\zeta^2 - 1)}; \\ h_{n+1}(\zeta) &= \zeta \frac{\zeta^{2n} - 1}{\zeta^{n-1}(\zeta^2 - 1)} + \frac{1}{\zeta^n} = \frac{\zeta^2(\zeta^{2n} - 1) + (\zeta^2 - 1)}{\zeta^n(\zeta^2 - 1)} = \frac{\zeta^{2n+2} - 1}{\zeta^n(\zeta^2 - 1)}. \end{aligned}$$

□

Now, a direct computation shows that

$$\text{tr}(x(\lambda, c)^a y(\mu, d)^b) = \lambda^a \mu^b + cd h_a(\lambda) h_b(\mu) + \frac{1}{\lambda^a \mu^b}.$$

We have to show that for every field  $\mathbb{F}_q$  there are  $x(\lambda, c)$  and  $y(\mu, d)$  such that

$$h_a(\lambda) h_b(\mu) = \frac{(\lambda^{2a} - 1)(\mu^{2b} - 1)}{(\lambda^2 - 1)(\mu^2 - 1)\lambda^{a-1}\mu^{b-1}} \neq 0.$$

Note that  $h_n(1) = n$ .

Let  $\alpha \in \mathbb{F}_q$  be an element such that  $\alpha^{q-1} = 1, \alpha^m \neq 1$  for any  $m < q-1$ , and let  $\beta \in \mathbb{F}_{q^2} \setminus \mathbb{F}_q$  be an element satisfying that  $\beta^{q+1} = 1, \beta^m \neq 1$  for any  $m < q+1$ .

Since  $\frac{p(q^2-1)}{d^2} \nmid a$  it follows that either  $p$  or  $\frac{q-1}{d}$  or  $\frac{q+1}{d}$  does not divide  $a$ . Similarly, either  $p$  or  $\frac{q-1}{d}$  or  $\frac{q+1}{d}$  does not divide  $b$ .

Thus, we need to consider nine cases, and in each case we have to find  $x(\lambda, c)$  and  $y(\mu, d)$  such that  $h_a(\lambda)h_b(\mu) \neq 0$ . The following table shows that this is possible.

|                         | $p \nmid a$                 | $\frac{q-1}{d} \nmid a$          | $\frac{q+1}{d} \nmid a$         |
|-------------------------|-----------------------------|----------------------------------|---------------------------------|
| $p \nmid b$             | $\lambda = \mu = 1$         | $\lambda = \alpha, \mu = 1$      | $\lambda = \beta, \mu = 1$      |
| $\frac{q-1}{d} \nmid b$ | $\lambda = 1, \mu = \alpha$ | $\lambda = \alpha, \mu = \alpha$ | $\lambda = \beta, \mu = \alpha$ |
| $\frac{q+1}{d} \nmid b$ | $\lambda = 1, \mu = \beta$  | $\lambda = \alpha, \mu = \beta$  | $\lambda = \beta, \mu = \beta$  |

□

We can now deduce that if neither  $a$  nor  $b$  is divisible by the exponent of  $\text{PSL}(2, q)$ , then the trace map of the word  $w(x, y) = x^a y^b$  is surjective onto  $\mathbb{F}_q$ .

**Corollary 5.3.** *Let  $a, b \geq 1$  and assume that neither  $a$  nor  $b$  is divisible by  $\frac{p(q^2-1)}{d^2}$ . Then every  $\alpha \in \mathbb{F}_q$  can be written as  $\alpha = \text{tr}(x^a y^b)$  for some  $x, y \in \text{SL}(2, q)$ .*

*Proof.* According to Lemma 2.3 the trace of  $w = x^a y^b$  can be written as

$$\text{tr}(w) = u \cdot f(s, t) + h(s, t),$$

where  $s = \text{tr}(x), u = \text{tr}(xy), t = \text{tr}(y)$ . Namely, it is linear in  $u$  and the coefficient of  $u$  is a non-trivial polynomial  $f(s, t)$  in  $s$  and  $t$ .

By Proposition 5.1,  $f(s, t)$  does not vanish identically on  $\mathbb{A}_{s,t}^2(\mathbb{F}_q)$ , and hence for every  $\alpha \in \mathbb{F}_q$  there is a solution  $(s, u, t) \in \mathbb{F}_q^3$  to the equation

$$u \cdot f(s, t) + h(s, t) = \alpha.$$

□

## 6. SURJECTIVITY OF $w(x, y) = x^a y^b$ ON $\text{SL}(2, q) \setminus \{-id\}$ AND $\text{PSL}(2, q)$

In this Section we prove Theorems 1.8, 1.9 and 1.10 on the surjectivity of the word map  $w(x, y) = x^a y^b$  on  $\text{SL}(2, q) \setminus \{-id\}$  and  $\text{PSL}(2, q)$ .

By Remark 3.4, throughout this section we can assume that  $1 \leq a, b \leq \frac{p(q^2-1)}{d^2}$ .

The following two Corollaries follow from the general arguments presented in Section 3.

**Corollary 6.1.** *If either  $a$  is relatively prime to  $\frac{p(q^2-1)}{d^2}$  or  $b$  is relatively prime to  $\frac{p(q^2-1)}{d^2}$ , then the word  $w(x, y) = x^a y^b$  is surjective on  $\text{SL}(2, q)$ , and hence on  $\text{PSL}(2, q)$ .*

*Proof.* The claim follows immediately from Proposition 3.3. □

**Corollary 6.2.** *If either  $a = \frac{p(q^2-1)}{d^2}$  and  $b$  is not relatively prime to  $\frac{p(q^2-1)}{d^2}$ ; or  $b = \frac{p(q^2-1)}{d^2}$  and  $a$  is not relatively prime to  $\frac{p(q^2-1)}{d^2}$ ; then the word map  $w(x, y) = x^a y^b$  is not surjective on  $\text{PSL}(2, q)$ , and hence not on  $\text{SL}(2, q) \setminus \{-id\}$ .*

*Proof.* The claim follows immediately from Proposition 3.1.  $\square$

**Remark 6.3.** It follows that the only interesting cases to consider are when  $a, b < \frac{p(q^2-1)}{d^2}$ , and both  $a$  and  $b$  are *not* relatively prime to  $\frac{p(q^2-1)}{d^2}$ .

We can now deduce Proposition 1.6, stating that if  $w = x^a y^b$  is non-degenerate with respect to  $q$ , then any *semisimple* element  $z$  (namely, when  $\text{tr}(z) \neq \pm 2$ ) can be written as  $z = x^a y^b$  for some  $x, y \in \text{SL}(2, q)$ .

*Proof of Proposition 1.6.* Assume that  $w = x^a y^b$  is non-degenerate with respect to  $q$ . Without loss of generality, we may assume that  $1 \leq a, b \leq \frac{p(q^2-1)}{d^2}$ . If  $a, b < \frac{p(q^2-1)}{d^2}$  then the result immediately follows from Corollary 5.3 and Section 4. Otherwise, either  $a$  or  $b$  is relatively prime to  $\frac{p(q^2-1)}{d^2}$ , and the result follows from Corollary 6.1.  $\square$

Unfortunately, a similar result fails to hold when  $z$  is *unipotent*, namely when  $z \neq \pm \text{id}$  and  $\text{tr}(z) = \pm 2$ . This case will be discussed in detail in Section 8, where we shall prove the following two propositions.

**Proposition 6.4.** *Let  $1 \leq a, b < \frac{p(q^2-1)}{d^2}$ . Then the image of the word map  $w = x^a y^b$  contains any non-trivial element  $z \in \text{SL}(2, q)$  with  $\text{tr}(z) = 2$ , if and only if none of the following obstructions occurs:*

- (i)  $q = 2^e$ ,  $e$  is odd and  $a, b \in \{\frac{2(q^2-1)}{3}, \frac{4(q^2-1)}{3}\}$ ;
- (ii)  $q \equiv 3 \pmod{4}$  and  $a = b = \frac{p(q^2-1)}{8}$ ;
- (iii)  $q \equiv 11 \pmod{12}$  and  $a = b = \frac{p(q^2-1)}{6}$ ;
- (iv)  $q \equiv 5 \pmod{12}$  and  $a, b \in \{\frac{p(q^2-1)}{6}, \frac{p(q^2-1)}{12}\}$ .

**Proposition 6.5.** *Assume that  $q$  is odd and let  $1 \leq a, b < \frac{p(q^2-1)}{4}$ . Then the image of the word map  $w = x^a y^b$  contains any element  $z \neq -\text{id}$  with  $\text{tr}(z) = -2$ , unless  $q \equiv 3 \pmod{4}$  and  $a = b = \frac{p(q^2-1)}{8}$ .*

We can now prove the main theorems.

*Proof of Theorem 1.8.* Let  $q = 2^e$ . If  $w = x^a y^b$  degenerates with respect to  $q$ , then by Corollary 6.2 the word map  $w$  is not surjective on  $\text{SL}(2, q)$ . If obstruction (i) occurs then by Proposition 6.4(i), the image of  $w = x^a y^b$  does not contain any non-trivial element  $z \in \text{SL}(2, q)$  with  $\text{tr}(z) = 0$ , and hence it cannot be surjective on  $\text{SL}(2, q)$ .

On the other hand, if  $w = x^a y^b$  is non-degenerate with respect to  $q$  and obstruction (i) does not hold, then by Proposition 1.6 and Proposition 6.4(i), any element  $z \in \text{SL}(2, q)$  is in the image of the word map  $w = x^a y^b$ .  $\square$

*Proof of Theorem 1.9.* Let  $q$  be an odd prime power. If  $w = x^a y^b$  degenerates with respect to  $q$ , then by Corollary 6.2 the word map  $w$  is not surjective on  $\text{SL}(2, q) \setminus \{-\text{id}\}$ . If one of obstructions (ii), (iii), (iv) occurs then by Proposition 6.4, the image of  $w = x^a y^b$  does not contain any non-trivial element  $z \in \text{SL}(2, q)$  with  $\text{tr}(z) = 2$ , and hence it cannot be surjective on  $\text{SL}(2, q) \setminus \{-\text{id}\}$ .

On the other hand, if  $w = x^a y^b$  is non-degenerate with respect to  $q$  and none of the obstructions (ii), (iii), (iv) holds, then by Proposition 1.6, Proposition 6.4 and Proposition 6.5, any element  $z \in \mathrm{SL}(2, q) \setminus \{-id\}$  is in the image of the word map  $w = x^a y^b$ .  $\square$

*Proof of Theorem 1.10.* Let  $q$  be an odd prime power. Observe that the word map  $w = x^a y^b$  is surjective on  $\mathrm{PSL}(2, q)$  if and only if for every  $z \in \mathrm{SL}(2, q)$  either  $z$  or  $-z$  can be written as  $x^a y^b$  for some  $x, y \in \mathrm{SL}(2, q)$ .

If  $w = x^a y^b$  degenerates with respect to  $q$ , then by Corollary 6.2 the word map  $w$  is not surjective on  $\mathrm{PSL}(2, q)$ . If obstruction (ii) occurs then by Proposition 6.4 and Proposition 6.5 the image of  $w = x^a y^b$  does not contain any element  $\pm id \neq z \in \mathrm{SL}(2, q)$  with  $\mathrm{tr}(z) = 2$  or  $\mathrm{tr}(z) = -2$ , and hence it cannot be surjective on  $\mathrm{PSL}(2, q)$ .

On the other hand, if  $w = x^a y^b$  is non-degenerate with respect to  $q$  and obstruction (ii) does not hold, then by Proposition 1.6, Proposition 6.4 and Proposition 6.5, for any element  $z \in \mathrm{SL}(2, q)$ , either  $z$  or  $-z$  can be written as  $x^a y^b$  for some  $x, y \in \mathrm{SL}(2, q)$ , as needed.  $\square$

*Proof of Corollary 1.11.* If  $q$  is odd, then by Theorem 1.10, the word map  $w = x^a y^b$  is surjective on  $\mathrm{PSL}(2, q)$  whenever

$$\frac{p(q^2 - 1)}{8} > \max\{a, b\}.$$

Thus, one has to prove that

$$(6) \quad q > \sqrt{3a} \implies \frac{p(q^2 - 1)}{8} > a$$

and

$$(7) \quad |\mathrm{PSL}(2, q)| = \frac{q(q^2 - 1)}{2} > \frac{3\sqrt{3}}{2} a^{3/2} \implies \frac{p(q^2 - 1)}{8} > a.$$

Assume that  $q > \sqrt{3a}$ . Since  $q \geq 3$  then  $q^2 - 1 \geq \frac{8}{9}q^2$ . Therefore,

$$\frac{p(q^2 - 1)}{8} \geq \frac{3(q^2 - 1)}{8} \geq \frac{3 \cdot 8 \cdot q^2}{9 \cdot 8} = \frac{q^2}{3} > a.$$

Moreover, the inequality

$$\frac{3\sqrt{3}}{2} a^{3/2} < |\mathrm{PSL}(2, q)| = \frac{q(q^2 - 1)}{2} < \frac{q^3}{2},$$

implies that  $q > \sqrt{3a}$ .

This estimate is sharp. Indeed, if  $a = p = q = 3$ , we have

$$q = 3 = \sqrt{3a}, \quad \frac{p(q^2 - 1)}{8} = 3 = a.$$

If  $q$  is even, then by Theorem 1.8, the word map  $w = x^a y^b$  is surjective on  $\mathrm{PSL}(2, q)$  whenever

$$\frac{2(q^2 - 1)}{3} > \max\{a, b\}.$$

Thus, in this case one has to prove that

$$(8) \quad q > \sqrt{3a} \implies \frac{2(q^2 - 1)}{3} > a$$

and

$$(9) \quad |\mathrm{PSL}(2, q)| = q(q^2 - 1) > \frac{3\sqrt{3}}{2}a^{3/2} \implies \frac{2(q^2 - 1)}{3} > a.$$

If  $q > \sqrt{3a}$  then

$$\frac{2(q^2 - 1)}{3} \geq \frac{2(3a - 1)}{3} = 2a - \frac{2}{3} > a.$$

Let us prove (9). If  $q = 2$ , then  $q(q^2 - 1) = 6 \leq \frac{3\sqrt{3}}{2}a^{3/2}$  for any  $a \geq 2$ . Hence, we may assume that  $q \geq 4$ , and then  $\frac{2(q^2 - 1)}{3} \geq 10 > 3$ . It follows that (9) is valid for  $a = 2, 3$ . On the other hand,

$$\begin{aligned} q(q^2 - 1) > \frac{3\sqrt{3}}{2}a^{3/2} &\implies q^3 > \frac{3\sqrt{3}}{2}a^{3/2} \\ \implies q^2 > \frac{3a}{2^{2/3}} &\implies \frac{2(q^2 - 1)}{3} > 2^{1/3}a - \frac{2}{3} \geq a \end{aligned}$$

if  $a \geq 3$ . □

## 7. EQUIDISTRIBUTION OF THE WORD MAP $w(x, y) = x^a y^b$ ON $\mathrm{PSL}(2, q)$

The goal of this section is to prove Theorem 1.14. We first consider the case  $\tilde{G} = \mathrm{SL}(2, q)$ . In this case, the Theorem follows from the following Proposition.

**Proposition 7.1.** *Denote  $\tilde{G} = \mathrm{SL}(2, q)$ , let  $a, b \geq 1$  and let  $w : \tilde{G} \times \tilde{G} \rightarrow \tilde{G}$  be the map given by  $w(x, y) = x^a y^b$ . Then there are a subset  $\tilde{S} \subseteq \tilde{G}$ , and numbers  $A_1(a, b), A_2(a, b)$  such that:*

- (i)  $|\tilde{S}| = (1 - \epsilon(q))|\tilde{G}|$ , where  $0 \leq \epsilon(q) \leq \frac{A_1(a, b)}{q}$ ;
- (ii)  $|M_g| = q^3(1 + \delta(q))$  for any  $g \in \tilde{S}$ , where  $M_g = \{(x, y) \in \tilde{G}^2 \mid w(x, y) = g\}$  and  $|\delta(q)| \leq \frac{A_2(a, b)}{q}$ .

*Proof.* We fix  $a, b$  and maintain the notation of Section 2 omitting only the indices  $a, b$ . Let  $D = a + b - 1$ .

Consider the following commutative diagram of morphisms:

$$(10) \quad \begin{array}{ccc} \tilde{G} \times \tilde{G}(\mathbb{F}_q) & \xrightarrow{\pi} & \mathbb{A}_{s,u,t}^3(\mathbb{F}_q) \\ \downarrow w & \searrow \varphi & \downarrow \psi \\ \tilde{G}(\mathbb{F}_q) & \xrightarrow{\tau} & \mathbb{A}_s^1(\mathbb{F}_q) \end{array}$$

In this diagram:

- $\mathbb{A}_{x_1, x_2, \dots}^n$  denotes an  $n$ -dimensional affine space with coordinates  $x_1, x_2, \dots$ ;
- $\pi(x, y) = (\mathrm{tr}(x), \mathrm{tr}(xy), \mathrm{tr}(y)) \in \mathbb{A}_{s,u,t}^3$ ;
- $w(x, y) = x^a y^b \in \tilde{G}$ ;
- $\psi(s, u, t) = uf(s, t) + h(s, t)$ ;
- $\tau(x) = \mathrm{tr}(x) \in \mathbb{A}_s^1$ ;
- $\varphi(x, y) = \mathrm{tr}(w(x, y))$ .

By definition,  $M_g = w^{-1}(g)$ . Let  $t \in \mathbb{F}_q$ . Denote:

- $N_t = \varphi^{-1}(t) \subseteq \tilde{G}^2$ ,
- $L_t = \psi^{-1}(t) \subseteq \mathbb{A}_{s,u,t}^3$ ,
- $T_t = \tau^{-1}(t) \subseteq \tilde{G}$ ,
- $p(s, u, t) = s^2 + u^2 + t^2 - ust - 4$ ,
- $\nu_i(t)$ ,  $i = 1, 2$ , are solutions of the quadratic equation  $x^2 - tx + 1 = 0$ ,
- $\omega_t^2 = t^2 - 4$ .

Note that  $\nu_1(t) \neq \nu_2(t)$  if and only if  $t \neq 2$ . For odd  $q$  the condition  $\omega_t \in \mathbb{F}_q$  is equivalent to the condition  $\nu_{1,2} \in \mathbb{F}_q$ .

Recall that if  $\pm 2 \neq t \in \mathbb{F}_q$ , then all the elements in  $T_t$  are conjugate (see Section 4). Thus, if  $\mathrm{tr}(g) = t$ , then  $|M_g| = \frac{|N_t|}{|T_t|}$ . From Table 1 in Section 4 we deduce that

$$(11) \quad |T_t| = q^2(1 + \delta_1(t)),$$

where

$$\delta_1(t) = \begin{cases} 0 & \text{if } \omega_t = 0 \\ \frac{1}{q} & \text{if } \omega_t \neq 0 \text{ and } \nu_{1,2}(t) \in \mathbb{F}_q \\ -\frac{1}{q} & \text{if } \omega_t \neq 0 \text{ and } \nu_{1,2}(t) \notin \mathbb{F}_q \end{cases}$$

Hence, in all the cases above,  $|\delta_1| \leq \frac{1}{q}$ .

We divide the proof into three steps.

**Step 1.** *Fibers of  $\pi$ .*

**Proposition 7.2.** (a) *If  $t^2 \neq 4$ , then*

$$|\pi^{-1}(s, u, t)(\mathbb{F}_q)| \begin{cases} = q^3(1 + \delta_2(t)) & \text{if } p(s, u, t) \neq 0 \\ \leq 2q^3(1 + \frac{1}{q}) & \text{if } p(s, u, t) = 0 \end{cases},$$

where  $|\delta_2| \leq \frac{3}{q}$  for every  $(s, u, t)$ .

$$(b) \quad |\pi^{-1}(s, u, \pm 2)(\mathbb{F}_q)| \begin{cases} = q^3 - q & \text{if } p(s, u, 2) \neq 0 \\ \leq 2q^3(1 + \delta_1(s)) & \text{if } p(s, u, 2) = 0 \end{cases}.$$

The proof of this Proposition follows from the next two Lemmas.

For a fixed  $y \in \tilde{G}$  with  $\text{tr}(y) = t$ , let

$$K_{s,u}(y) = \{x \in \tilde{G} \mid \pi(x, y) = (s, u, t)\}.$$

**Lemma 7.3.** *Let  $t^2 \neq 4$  and  $y_t = \begin{pmatrix} t & 1 \\ -1 & 0 \end{pmatrix}$ . Then*

$$(12) \quad |K_{s,u}(y_t)(\mathbb{F}_q)| = \begin{cases} q \pm 1 & \text{if } p(s, u, t) \neq 0 \\ 1 & \text{if } p(s, u, t) = 0, \nu_{1,2}(t) \notin \mathbb{F}_q \\ 2q - 1 & \text{if } p(s, u, t) = 0, \nu_{1,2}(t) \in \mathbb{F}_q \end{cases}.$$

*Proof.* If  $\omega_t \neq 0$  then  $K_{s,u}(y_t)$  consists of the matrices

$$x = \begin{pmatrix} \alpha & \beta \\ u + \beta - \alpha t & s - \alpha \end{pmatrix},$$

such that

$$(13) \quad \alpha(s - \alpha) - (u + \beta - \alpha t)\beta = 1.$$

Assume that  $q$  is odd. Then (13) is equivalent to

$$\left( \frac{st - 2u}{2} + \frac{\omega_t^2 \beta}{2} \right)^2 - \omega_t^2 \left( \alpha - \frac{\beta t}{2} - \frac{s}{2} \right)^2 - p(s, u, t) = 0.$$

Thus, if  $p(s, u, t) \neq 0$  then  $K_{s,u}(y_t)$  is a non-degenerate conic; whereas if  $p(s, u, t) = 0$  then  $K_{s,u}(y_t)$  is a pair of intersecting straight lines, which are not defined over  $\mathbb{F}_q$  if  $\omega_t \notin \mathbb{F}_q$ , or defined over  $\mathbb{F}_q$  if  $\omega_t \in \mathbb{F}_q$ .

Assume that  $q = 2^e$ . Substituting  $\tilde{\alpha} = \alpha + \frac{u}{t}$ ,  $\tilde{\beta} = \beta + \frac{s}{t}$ , we reduce (13) to

$$(14) \quad \tilde{\alpha}^2 + \tilde{\beta}^2 + t\tilde{\alpha}\tilde{\beta} = \frac{p(s, u, t)}{t^2}.$$

Thus, since  $t \neq 0$ , we have a conic for  $p(s, u, t) \neq 0$ . Hence,  $|K_{s,u}(y_t)(\mathbb{F}_q)| = q \pm 1$  if  $p(s, u, t) \neq 0$ .

If  $p(s, u, t) = 0$  then (14) provides

$$(15) \quad (\nu_1(t)\tilde{\alpha} + \tilde{\beta})(\nu_2(t)\tilde{\alpha} + \tilde{\beta}) = 0$$

Thus, if  $\nu_{1,2}(t) \in \mathbb{F}_q$  we have two intersecting lines, whereas if  $\nu_{1,2}(t) \notin \mathbb{F}_q$  we have precisely one point  $(0, 0)$ .  $\square$

**Lemma 7.4.** *Let  $t = 2$ ,  $\lambda \neq 0$ , and  $v_\lambda = \begin{pmatrix} 1 & \lambda \\ 0 & 1 \end{pmatrix}$ . Then*

$$(16) \quad |K_{s,u}(v_\lambda)(\mathbb{F}_q)| = \begin{cases} q & \text{if } p(s, u, 2) \neq 0 \\ 0 & \text{if } p(s, u, 2) = 0, \nu_{1,2}(s) \notin \mathbb{F}_q \\ 2q \text{ or } q & \text{if } p(s, u, 2) = 0, \nu_{1,2}(s) \in \mathbb{F}_q \end{cases}.$$

*Proof.* If  $\lambda \neq 0$  then  $K_{s,u}(v_\lambda)$  consists of the matrices

$$x = \begin{pmatrix} \alpha & \beta \\ \frac{u-s}{\lambda} & s - \alpha \end{pmatrix},$$

such that

$$\alpha(s - \alpha) - \beta \frac{u - s}{\lambda} = 1.$$

Thus if  $p(s, u, 2) = (s - u)^2 \neq 0$  then we have  $q$  points; whereas if  $p(s, u, 2) = (s - u)^2 = 0$ , then we have

- either two disjoint lines, if  $\nu_{1,2}(s) \in \mathbb{F}_q$ ,  $\nu_1 \neq \nu_2$  (for odd  $q$  it means that  $w_s \neq 0, w_s \in \mathbb{F}_q$ );
- or one line, if  $\nu_{1,2}(s) \in \mathbb{F}_q$ ,  $\nu_1 = \nu_2$  (for odd  $q$  it means that  $w_s = 0$ );
- no points, if  $\nu_{1,2}(s) \notin \mathbb{F}_q$ , (for odd  $q$  it means that  $w_s \notin \mathbb{F}_q$ ).

□

*Proof of Proposition 7.2.*

- (a) Indeed,  $|\pi^{-1}(s, u, t)(\mathbb{F}_q)| = |K_{s,u}(y_t)(\mathbb{F}_q)| \cdot |T_t|$ , thus the claim follows from Lemma 7.3 and Equation (11). Moreover,

$$|\delta_2(t)| \leq |\delta_1(t)| + \frac{1}{q} + |\delta_1(t)| \frac{1}{q} \leq \frac{3}{q}.$$

- (b) There are two or three conjugacy classes of matrices  $y$  with  $\text{tr}(y) = 2$ . If  $u \neq s$ , then  $y \neq id$ , thus there are  $q^2 - 1$  different matrices  $y$  to consider, and according to Equation (16),

$$|\pi^{-1}(s, u, \pm 2)(\mathbb{F}_q)| = (q^2 - 1)q.$$

If  $p(s, u, 2) = 0$ , i.e.  $s = u$ , then summation over the classes yields

$$|\pi^{-1}(s, u, \pm 2)(\mathbb{F}_q)| \leq 2q(q^2 - 1) + q^2(1 + \delta_1(s)) \leq 2q^3 \left(1 + \frac{1}{q}\right).$$

For  $t = -2$  the proof is similar.

□

**Step 2.** *Definition of the set  $\tilde{S}$ .*

Let

$$\begin{aligned} A &= \{(s, t) \in \mathbb{A}_{s,t}^2 \mid f(s, t) = 0\}, \\ B_\zeta &= \{(s, t) \in \mathbb{A}_{s,t}^2 \mid h(s, t) = \zeta\}, \\ C &= \{(s, u, t) \in \mathbb{A}_{s,u,t}^3 \mid p(s, u, t) = 0\}. \end{aligned}$$

Note that  $C$  is absolutely irreducible for every field  $\mathbb{F}_q$ . We first define the set  $\Sigma \subset \mathbb{F}_q$  by the following rules.

- *Rule 1.* Assume that there exists  $\zeta \in \mathbb{F}_q$  satisfying  $p(s, \frac{\zeta - h(s,t)}{f(s,t)}, t) \equiv 0$  on  $C$ . Then  $\zeta \in \Sigma$ .
- *Rule 2.* Assume that there is an irreducible (over  $\overline{\mathbb{F}}_q$ ) component  $A' \subseteq A$  and  $\zeta \in \mathbb{F}_q$  such that  $h(s, t) \equiv \zeta$  on  $A'$ . Then  $\zeta \in \Sigma$ . Note that since  $\deg A = D$  (by Lemma 2.3) there are at most  $D$  such numbers.
- *Rule 3.*  $2 \in \Sigma$  and  $-2 \in \Sigma$ .

**Remark 7.5.** By the above construction,  $\Sigma$  contains all the values  $\zeta$  such that  $A \cap B_\zeta$  contains a curve or  $L_\zeta \cap C$  is not a curve.

Now, we can define the sets  $\tilde{T} = \tau^{-1}(\Sigma)$  and  $\tilde{S} = \tilde{G} \setminus \tilde{T}$ .

**Lemma 7.6.**  $|\tilde{S}| = |\tilde{G}|(1 - \varepsilon(q))$ , where  $|\varepsilon(q)| \leq \frac{3+D}{q-1}$ .

*Proof.* Indeed, by construction,  $|\Sigma| \leq (3 + D)$ . Thus by (11),  $|\tilde{T}| \leq (3 + D)q^2(1 + \frac{1}{q})$ . Hence,

$$|\tilde{S}| = |\tilde{G}| - |\tilde{T}| = |\tilde{G}|(1 - \varepsilon(q)),$$

where

$$|\varepsilon(q)| \leq \frac{(3 + D)q^2(1 + \frac{1}{q})}{q^3 - q} = \frac{3 + D}{q - 1}.$$

□

**Step 3.** *Estimation of  $|M_g|$ .*

Let  $\zeta \in \mathbb{F}_q \setminus \Sigma$ . Then  $L_\zeta = \psi^{-1}(\zeta) = Y_\zeta \cup R_\zeta \cup Q_\zeta$ , where

$$\begin{aligned} Y_\zeta &= \{(s, u, t) \in L_\zeta \mid p(s, u, t) \neq 0, f(s, t) \neq 0\}, \\ R_\zeta &= \{(s, u, t) \in L_\zeta \mid p(s, u, t) = 0, f(s, t) \neq 0\}, \\ Q_\zeta &= \{(s, u, t) \in L_\zeta \mid f(s, t) = 0\}. \end{aligned}$$

In the estimation of the sizes of the above sets, we will use the following fact, which is the case  $n = 1$  of [GL, Proposition 12.1].

**Claim 7.7.** *Let  $X \subseteq \mathbb{P}^N$  be a projective curve in the projective space  $\mathbb{P}^N$  of degree  $D$  defined over  $\mathbb{F}_q$ . Then*

$$(17) \quad |X(\mathbb{F}_q)| \leq D(q + 1).$$

**Lemma 7.8.**  $|Q_\zeta(\mathbb{F}_q)| \leq D^2 q$ .

*Proof.* If  $f(s, t) = 0$  and  $(s, u, t) \in L_\zeta$ , then  $h(s, t) = \zeta$ , i.e.  $(s, t) \in A \cap B_\zeta$ . Since  $\zeta \notin \Sigma$ , the set  $A \cap B_\zeta$  is finite. Both curves have degree at most  $D$  (by Lemma 2.3), hence, by Bézout's Theorem,  $|A \cap B_\zeta| \leq D^2$ . On the other hand there is no restriction on the value of  $u$ . Hence,  $|Q_\zeta(\mathbb{F}_q)| \leq D^2 q$ .  $\square$

**Lemma 7.9.**  $|R_\zeta(\mathbb{F}_q)| \leq 3D(q+1)$ .

*Proof.* Indeed,  $R_\zeta(\mathbb{F}_q) = \{p(s, u, t) = 0, uf(s, t) + h(s, t) = \zeta\}$  is a curve, since  $\zeta \notin \Sigma$ . By Bézout's Theorem,  $\deg(R_\zeta) \leq 3D$ . Hence, according to Equation (17),  $|R_\zeta(\mathbb{F}_q)| \leq 3D(q+1)$ .  $\square$

**Lemma 7.10.**  $|Y_\zeta(\mathbb{F}_q)| = q^2(1 + \delta_3(q))$  where  $|\delta_3(\zeta)| \leq \frac{2D}{q}$ .

*Proof.* Since  $\deg A = D$ , by Equation (17),  $|A(\mathbb{F}_q)| \leq D(q+1)$ . Hence

$$q^2 - |(\mathbb{A}_{s,t}^2 \setminus A)(\mathbb{F}_q)| \leq D(q+1).$$

For every point  $(s, t) \in (\mathbb{A}_{s,t}^2 \setminus A)(\mathbb{F}_q)$  there is precisely one point  $(s, \frac{\zeta - h(s, t)}{f(s, t)}, t) \in Y_\zeta$ . Thus,

$$|Y_\zeta(\mathbb{F}_q)| = |(\mathbb{A}_{s,t}^2 \setminus A)(\mathbb{F}_q)| = q^2(1 + \delta_3(q)),$$

and

$$|\delta_3(\zeta)| \leq \frac{D(q+1)}{q^2} \leq \frac{2D}{q}.$$

$\square$

We can now estimate  $|M_g| = \frac{|N_\zeta|}{|T_\zeta|}$ . By Proposition 7.2, we have

$$|\pi^{-1}(Y_\zeta)(\mathbb{F}_q)| = q^5(1 + \delta_4),$$

where

$$|\delta_4| \leq \frac{2D}{q} + \frac{3}{q} + \frac{2D}{q} \frac{3}{q} \leq \frac{8D+3}{q},$$

and

$$|\pi^{-1}(R_\zeta \cup Q_\zeta)(\mathbb{F}_q)| \leq (D^2 q + 3D(q+1))2q^3(1 + \frac{1}{q}) \leq 2(D^2 + 6D)q^4(1 + \frac{1}{q}).$$

Therefore

$$\begin{aligned} ||N_\zeta(\mathbb{F}_q)| - q^5(1 + \delta_4)| &= |\pi^{-1}(L_\zeta)(\mathbb{F}_q)| - |\pi^{-1}(Y_\zeta)(\mathbb{F}_q)| \\ &\leq 2(D^2 + 6D)q^4(1 + \frac{1}{q}), \end{aligned}$$

implying that

$$|N_\zeta(\mathbb{F}_q)| = q^5(1 + \delta_5),$$

where

$$\delta_5 \leq \frac{8D+3}{q} + \frac{2(D^2 + 6D)(1 + \frac{1}{q})}{q} \leq \frac{4D^2 + 32D + 3}{q}.$$

We conclude that

$$|M_g| = \frac{|N_\zeta|}{|T_\zeta|} = \frac{q^5(1 + \delta_5)}{q^2(1 + \delta_1)} = q^3(1 + \delta_6(\zeta)),$$

where

$$|\delta_6(\zeta)| \leq \frac{4D^2 + 32D + 3}{q} + \frac{2}{q} = \frac{4D^2 + 32D + 5}{q}.$$

For completing the proof of Proposition 7.1 it is sufficient to take

$$A_1(a, b) = 2(3 + D) \geq \frac{(3 + D)q}{q - 1},$$

and

$$A_2(a, b) = 4D^2 + 32D + 5.$$

□

We can now prove Theorem 1.14 for the group  $G = \text{PSL}(2, q)$ .

*Proof of Theorem 1.14 for  $G = \text{PSL}(2, q)$ .* Assume that  $q$  is odd, denote  $G = \text{PSL}(2, q)$  and consider the commutative diagram

$$(18) \quad \begin{array}{ccc} \tilde{G} \times \tilde{G}(\mathbb{F}_q) & \xrightarrow{w_1} & \tilde{G}(\mathbb{F}_q) \\ \downarrow \rho' & \searrow \kappa & \downarrow \rho \\ G \times G(\mathbb{F}_q) & \xrightarrow{w_2} & G(\mathbb{F}_q) \end{array}$$

where

- $\rho : \tilde{G} \rightarrow G$  is the natural projection  $\tilde{G} \rightarrow \tilde{G}/Z(\tilde{G})$ ;
- $\rho' : \tilde{G} \times \tilde{G} \rightarrow G \times G$  is the projection induced by  $\rho$ ;
- $w_1, w_2$  correspond to the map  $(x, y) \rightarrow x^a y^b$  on  $\tilde{G} \times \tilde{G}$  and on  $G \times G$  respectively.

Define  $S = \rho(\tilde{S})$ . Since for any  $z \in G$ ,  $\rho^{-1}(z)$  contains precisely two elements of  $\tilde{G}$ , then Proposition 7.1 implies that

$$|S| = \frac{|\tilde{G}|(1 - \varepsilon(q))}{2} = |G|(1 - \varepsilon(q)).$$

Take  $z \in S$ , then  $\rho^{-1}(z) = \{z_1, z_2\}$ , and denote  $H_z = w_2^{-1}(z)$ . Let  $y \in \tilde{G}$  and denote  $M_y = w_1^{-1}(y)$ . Then  $M_{z_1} \cup M_{z_2} = \rho^{-1}(w_2^{-1}(z)) = \rho^{-1}(H_z)$ .

Thus,

$$|H_z| = \frac{|M_{z_1}| + |M_{z_2}|}{4} = \frac{2q^3(1 + \delta(q))}{4} = |G|(1 + \delta'(q)),$$

where  $|\delta'(q)| \rightarrow 0$  when  $q \rightarrow \infty$ .

□

## 8. NON-SURJECTIVITY OF SOME WORDS $w(x, y) = x^a y^b$

In this section we prove Propositions 6.4 and 6.5, and in particular, we show that there are certain fields  $q$  and positive integers  $a, b$  such that the trace map corresponding to the word  $w = x^a y^b$  is surjective on  $\mathbb{F}_q$ , by Corollary 5.3, however, the word  $w = x^a y^b$  itself is not surjective on  $\mathrm{SL}(2, q)$  (or  $\mathrm{PSL}(2, q)$ ), since the image of  $w$  does not contain  $-id$  or unipotent elements, yielding the obstructions described in Definition 1.7.

### 8.1. Proof of Propositions 6.4 and 6.5.

*Proof of Proposition 6.4.* Since  $\frac{p(q^2-1)}{d^2} \nmid a$  it follows that either  $p$  or  $\frac{q-1}{d}$  or  $\frac{q+1}{d}$  does not divide  $a$ . Similarly, either  $p$  or  $\frac{q-1}{d}$  or  $\frac{q+1}{d}$  does not divide  $b$ .

We may consider the following four cases:

**Case 1:**  $p \nmid a$ .

Take  $x = \begin{pmatrix} 1 & \frac{1}{a} \\ 0 & 1 \end{pmatrix}$  and  $y = id$ . Then  $x^a y^b = x^a = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , and the claim follows from Corollary 4.3.

For the other cases, it is sufficient to find some  $x, y \in \mathrm{SL}(2, q)$  with  $s = \mathrm{tr}(x), t = \mathrm{tr}(y)$  satisfying:

$$(19) \quad f_{a,b}(s, t) \neq 0 \text{ and } \mathrm{tr}(x^a) \neq \mathrm{tr}(y^b).$$

Indeed, if  $f_{a,b}(s, t) \neq 0$  then one can find some  $u \in \mathbb{F}_q$  such that

$$\mathrm{tr}(w) = u \cdot f(s, t) + h(s, t) = 2.$$

Hence, there exist some matrices  $x_1, y_1 \in \mathrm{SL}(2, q)$  satisfying  $\mathrm{tr}(x_1) = s, \mathrm{tr}(y_1) = t$  and  $\mathrm{tr}(x_1 y_1) = u$  and so  $\mathrm{tr}(x_1^a y_1^b) = 2$ . Moreover,  $x_1^a y_1^b \neq id$  since

$$\mathrm{tr}(x_1^a) = \mathrm{tr}(x^a) \neq \mathrm{tr}(y^b) = \mathrm{tr}(y_1^b).$$

Therefore, by Corollary 4.3, there exist  $x_2, y_2 \in \mathrm{SL}(2, q)$  such that  $z = x_2^a y_2^b$  as needed.

**Case 2:**  $\frac{q-1}{d} \nmid a$  and  $\frac{q+1}{d} \nmid b$ .

Let  $x$  and  $y$  be two matrices of orders  $q-1$  and  $q+1$  respectively, and let  $s = \mathrm{tr}(x)$  and  $t = \mathrm{tr}(y)$ . According to the table in Proposition 5.1,  $f_{a,b}(s, t) \neq 0$ . Moreover, since  $x$  is a split element while  $y$  is a non-split element, and since  $x^a \neq \pm id, y^b \neq \pm id$ , then  $\mathrm{tr}(x^a) \neq \mathrm{tr}(y^b)$ , implying (19).

**Case 3:**  $\frac{q-1}{d} \nmid a$  and  $\frac{q-1}{d} \nmid b$ .

Let  $x, y$  be some matrices of order  $q-1$  and note that  $x^a \neq \pm id$  and  $y^b \neq \pm id$ . Observe that unless either  $|x^a| = |y^b| = 3$  or  $|x^a| = |y^b| = 4$ , for all elements  $x, y$  of order  $q-1$ , one can find two matrices  $x, y$  of order  $q-1$  satisfying  $\mathrm{tr}(x^a) \neq \mathrm{tr}(y^b)$  (see Lemma 4.1). Let  $s = \mathrm{tr}(x)$  and  $t = \mathrm{tr}(y)$ . According to the table in Proposition 5.1,  $f_{a,b}(s, t) \neq 0$ , and so (19) holds.

Since  $a, b \leq \frac{p(q^2-1)}{2}$  the only cases left to consider are cases (i), (iii), (v) of Remark 8.1. In Proposition 8.4 we will show that in all these cases the image of  $w = x^a y^b$  contains every non-trivial element  $z \in \mathrm{SL}(2, q)$  with  $\mathrm{tr}(z) = 2$ .

**Case 4:**  $\frac{q+1}{d} \nmid a$  and  $\frac{q+1}{d} \nmid b$ .

Let  $x, y$  be some matrices of order  $q+1$  and note that  $x^a \neq \pm id$  and  $y^b \neq \pm id$ . Similarly to Case 3, observe that unless either  $|x^a| = |y^b| = 3$  or  $|x^a| = |y^b| = 4$ , for all elements  $x, y$  of order  $q+1$ , one can find two matrices  $x, y$  of order  $q+1$  satisfying  $\mathrm{tr}(x^a) \neq \mathrm{tr}(y^b)$  (see Lemma 4.1). Let  $s = \mathrm{tr}(x)$  and  $t = \mathrm{tr}(y)$ . According to the table in Proposition 5.1,  $f_{a,b}(s, t) \neq 0$ , and so (19) holds.

Since  $a, b \leq \frac{p(q^2-1)}{2}$  the only cases left to consider are cases (ii), (iv), (vi) of Remark 8.1. In Proposition 8.3 we will show that in all these cases the image of  $w = x^a y^b$  contains no non-trivial element  $z \in \mathrm{SL}(2, q)$  with  $\mathrm{tr}(z) = 2$ , yielding the obstructions given in the proposition.  $\square$

*Proof of Proposition 6.5.* Since  $\frac{p(q^2-1)}{4} \nmid a$  it follows that either  $p$  or  $\frac{q-1}{2}$  or  $\frac{q+1}{2}$  does not divide  $a$ . Similarly, either  $p$  or  $\frac{q-1}{2}$  or  $\frac{q+1}{2}$  does not divide  $b$ .

We may consider the following six cases:

**Case 1:**  $p \nmid a$  and  $p \nmid b$ .

Take:  $x = \begin{pmatrix} 1 & -2/a \\ 0 & 1 \end{pmatrix}$ ,  $y = \begin{pmatrix} 1 & 0 \\ 2/b & 1 \end{pmatrix}$ .

Then  $x^a = \begin{pmatrix} 1 & -2 \\ 0 & 1 \end{pmatrix}$ ,  $y^b = \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}$ , and so  $z = x^a y^b = \begin{pmatrix} -3 & -2 \\ 2 & 1 \end{pmatrix} \neq -id$ , satisfies that  $\mathrm{tr}(z) = -2$ , and the result follows from Corollary 4.3.

For the other cases, it is sufficient to find some  $x, y \in \mathrm{SL}(2, q)$  with  $s = \mathrm{tr}(x), t = \mathrm{tr}(y)$  satisfying:

$$(20) \quad f_{a,b}(s, t) \neq 0 \text{ and } \mathrm{tr}(x^a) \neq -\mathrm{tr}(y^b).$$

Indeed, if  $f_{a,b}(s, t) \neq 0$  then one can find some  $u \in \mathbb{F}_q$  such that

$$\mathrm{tr}(w) = u \cdot f(s, t) + h(s, t) = -2.$$

Hence, there exist some matrices  $x_1, y_1 \in \mathrm{SL}(2, q)$  satisfying  $\mathrm{tr}(x_1) = s, \mathrm{tr}(y_1) = t$  and  $\mathrm{tr}(x_1 y_1) = u$  and so  $\mathrm{tr}(x_1^a y_1^b) = -2$ . Moreover,  $x_1^a y_1^b \neq -id$  since

$$\mathrm{tr}(x_1^a) = \mathrm{tr}(x^a) \neq -\mathrm{tr}(y^b) = -\mathrm{tr}(y_1^b).$$

Therefore, by Corollary 4.3, there exist  $x_2, y_2 \in \mathrm{SL}(2, q)$  such that  $z = x_2^a y_2^b$  as needed.

**Case 2:**  $p \nmid a$  and  $\frac{q-1}{2} \nmid b$ .

Let  $y$  be some matrix of order  $q-1$  and let  $t = \mathrm{tr}(y)$ . According to the table in Proposition 5.1,  $f_{a,b}(2, t) \neq 0$ . Moreover, since  $y$  is a non-split element and  $y^b \neq \pm id$  then  $\mathrm{tr}(y^b) \neq \pm 2$ , implying (20).

**Case 3:**  $p \nmid a$  and  $\frac{q+1}{2} \nmid b$ .

The proof is the same as in Case 2.

**Case 4:**  $\frac{q-1}{2} \nmid a$  and  $\frac{q+1}{2} \nmid b$ .

Let  $x$  and  $y$  be two matrices of orders  $q-1$  and  $q+1$  respectively, and let  $s = \mathrm{tr}(x)$  and  $t = \mathrm{tr}(y)$ . According to the table in Proposition 5.1,  $f_{a,b}(s, t) \neq 0$ . Moreover, since  $x$  is a split element while  $y$  is a non-split element, and since  $x^a \neq \pm id$ ,  $y^b \neq \pm id$ , then  $\mathrm{tr}(x^a) \neq \pm \mathrm{tr}(y^b)$ , implying (20).

**Case 5:**  $\frac{q-1}{2} \nmid a$  and  $\frac{q-1}{2} \nmid b$ .

Let  $x, y$  be some elements of order  $q-1$  and note that  $x^a \neq \pm id$  and  $y^b \neq \pm id$ . Observe that unless  $\mathrm{tr}(x^a) = \mathrm{tr}(y^b) = 0$  for all elements  $x, y$  of order  $q-1$ , one can find two matrices  $x, y$  of order  $q-1$  satisfying  $\mathrm{tr}(x^a) \neq -\mathrm{tr}(y^b)$  (see Lemma 4.1). Let  $s = \mathrm{tr}(x)$  and  $t = \mathrm{tr}(y)$ . According to the table in Proposition 5.1,  $f_{a,b}(s, t) \neq 0$ , and so (20) holds.

Now, the only case left is  $q \equiv 1 \pmod{4}$  and  $a = b = \frac{p(q^2-1)}{8}$  (see Remark 8.1(iii)). In Proposition 8.4 we will show that in this case the image of  $w = x^a y^b$  contains every element  $z \neq -id$  with  $\mathrm{tr}(z) = -2$ .

**Case 6:**  $\frac{q+1}{2} \nmid a$  and  $\frac{q+1}{2} \nmid b$ .

Let  $x, y$  be some elements of order  $q+1$  and note that  $x^a \neq \pm id$  and  $y^b \neq \pm id$ . Similarly to Case 5, observe that unless  $\mathrm{tr}(x^a) = \mathrm{tr}(y^b) = 0$  for all elements  $x, y$  of order  $q+1$ , one can find two matrices  $x, y$  of order  $q+1$  satisfying  $\mathrm{tr}(x^a) \neq -\mathrm{tr}(y^b)$  (see Lemma 4.1). Let  $s = \mathrm{tr}(x)$  and  $t = \mathrm{tr}(y)$ . According to the table in Proposition 5.1,  $f_{a,b}(s, t) \neq 0$ , and so (20) holds.

Now, the only case left is  $q \equiv 3 \pmod{4}$  and  $a = b = \frac{p(q^2-1)}{8}$  (see Remark 8.1(iv)). In Proposition 8.3 we will show that in this case the image of  $w = x^a y^b$  contains no element  $z \neq -id$  with  $\mathrm{tr}(z) = -2$ , yielding the obstruction given in the proposition.  $\square$

## 8.2. Obstructions for surjectivity of the word $w(x, y) = x^a y^b$ .

**Remark 8.1.** In the course of the proof of Propositions 6.4 and 6.5, we need to consider the following special cases:

- (i)  $q$  is even,  $|x^a|, |y^b| \in \{1, 2, 3\}$  for all  $x, y \in \mathrm{SL}(2, q)$ , and  $|x^a| = |y^b| = 3$  if  $|x| = |y| = q-1$ :

Namely,  $q = 2^e$ ,  $e$  is even,  $\frac{q-1}{3} | a$ ,  $q+1 | a$ ,  $2 | a$  (and similarly for  $b$ ). Hence,  $a$  and  $b$  are multiples of

$$\mathrm{lcm}\left(\frac{q-1}{3}, q+1, 2\right) = \frac{2(q^2-1)}{3},$$

namely,  $a, b \in \left\{\frac{2(q^2-1)}{3}, \frac{4(q^2-1)}{3}\right\}$ . By Remark 3.4, it is enough to consider the case  $a = b = \frac{2(q^2-1)}{3}$ .

- (ii)  $q$  is even,  $|x^a|, |y^b| \in \{1, 2, 3\}$  for all  $x, y \in \mathrm{SL}(2, q)$ , and  $|x^a| = |y^b| = 3$  if  $|x| = |y| = q+1$ :

Namely,  $q = 2^e$ ,  $e$  is odd,  $\frac{q+1}{3}|a$ ,  $q-1|a$ ,  $2|a$  (and similarly for  $b$ ). Hence,  $a$  and  $b$  are multiples of

$$\text{lcm}\left(\frac{q+1}{3}, q-1, 2\right) = \frac{2(q^2-1)}{3},$$

namely,  $a, b \in \{\frac{2(q^2-1)}{3}, \frac{4(q^2-1)}{3}\}$ . By Remark 3.4, it is enough to consider the case  $a = b = \frac{2(q^2-1)}{3}$ .

(iii)  $q$  is odd,  $|x^a|, |y^b| \in \{1, 2, 4\}$  for all  $x, y \in \text{SL}(2, q)$ , and  $|x^a| = |y^b| = 4$  if  $|x| = |y| = q-1$ :

Namely,  $q \equiv 1 \pmod{4}$ ,  $\frac{q-1}{4}|a$ ,  $\frac{q+1}{2}|a$ ,  $p|a$  (and similarly for  $b$ ). Hence,

$$a = b = \text{lcm}\left(\frac{q-1}{4}, \frac{q+1}{2}, p\right) = \frac{p(q^2-1)}{8}.$$

(iv)  $q$  is odd,  $|x^a|, |y^b| \in \{1, 2, 4\}$  for all  $x, y \in \text{SL}(2, q)$ , and  $|x^a| = |y^b| = 4$  if  $|x| = |y| = q+1$ :

Namely,  $q \equiv 3 \pmod{4}$ ,  $\frac{q+1}{4}|a$ ,  $\frac{q-1}{2}|a$ ,  $p|a$  (and similarly for  $b$ ). Hence,

$$a = b = \text{lcm}\left(\frac{q+1}{4}, \frac{q-1}{2}, p\right) = \frac{p(q^2-1)}{8}.$$

(v)  $q$  is odd,  $|x^a|, |y^b| \in \{1, 2, 3\}$  for all  $x, y \in \text{SL}(2, q)$ , and  $|x^a| = |y^b| = 3$  if  $|x| = |y| = q-1$ :

Namely,  $q \equiv 1 \pmod{6}$ ,  $\frac{q-1}{3}|a$ ,  $\frac{q+1}{2}|a$ ,  $p|a$  (and similarly for  $b$ ). Hence  $a$  and  $b$  are multiples of

$$\text{lcm}\left(\frac{q-1}{3}, \frac{q+1}{2}, p\right) = \begin{cases} \frac{p(q^2-1)}{3} & \text{if } q \equiv 1 \pmod{12} \\ \frac{p(q^2-1)}{12} & \text{if } q \equiv 7 \pmod{12} \end{cases}.$$

(vi)  $q$  is odd,  $|x^a|, |y^b| \in \{1, 2, 3\}$  for all  $x, y \in \text{SL}(2, q)$ , and  $|x^a| = |y^b| = 3$  if  $|x| = |y| = q+1$ :

Namely,  $q \equiv 5 \pmod{6}$ ,  $\frac{q+1}{3}|a$ ,  $\frac{q-1}{2}|a$ ,  $p|a$  (and similarly for  $b$ ). Hence  $a$  and  $b$  are multiples of

$$\text{lcm}\left(\frac{q+1}{3}, \frac{q-1}{2}, p\right) = \begin{cases} \frac{p(q^2-1)}{6} & \text{if } q \equiv 11 \pmod{12} \\ \frac{p(q^2-1)}{12} & \text{if } q \equiv 5 \pmod{12} \end{cases}.$$

In order to investigate these obstructions in detail, we need the following technical result on unipotent elements.

It follows from Theorem 2.2 and Section 4 that for any matrix  $z \in \text{SL}(2, q)$  with  $\text{tr}(z) \neq \pm 2$  and any two integers  $m, n > 2$  dividing  $p(q^2-1)$ , one can find two matrices  $x$  and  $y$ , such that  $x^m = id = y^n$  and  $z = xy$ . However, a similar result fails to hold if  $z$  is *unipotent*.

**Proposition 8.2.** *Let  $z \in \mathrm{SL}(2, q)$  be a unipotent element (i.e.  $z \neq \pm id$  and  $\mathrm{tr}(z) = \pm 2$ ), and let  $m, n > 2$  be two integers dividing  $p(q^2 - 1)$ . Then there exist  $x, y \in \mathrm{SL}(2, q)$ , such that  $x^m = id = y^n$  and  $z = xy$ , if and only if none of the following conditions hold:*

- (i)  $q = 2^e$ ,  $e$  is odd,  $\mathrm{tr}(z) = 0$  and  $m = n = 3$ ;
- (ii)  $q \equiv 3 \pmod{4}$ ,  $\mathrm{tr}(z) = \pm 2$  and  $m = n = 4$ ;
- (iii)  $q \equiv 5 \pmod{6}$ ,  $\mathrm{tr}(z) = 2$  and  $m = n = 3$ .

*Proof.* If  $m, n > 2$  are two integers dividing  $p(q^2 - 1)$ , then one can find  $m', n' > 2$  satisfying  $m' | m$ ,  $n' | n$  and moreover, either  $m' = p$  or  $m' | q - 1$  or  $m' | q + 1$ , and either  $n' = p$  or  $n' | q - 1$  or  $n' | q + 1$ . Thus, there exist some matrices  $x, y$  in  $\mathrm{SL}(2, q)$  such that  $x$  has order  $m'$  and  $y$  has order  $n'$ , namely  $x^{m'} = id = x^{n'}$ , and so  $x^m = id = x^n$ .

Assume that  $\mathrm{tr}(z) = 2$ . If  $m' = p$  then we can take  $x = z$  and  $y = id$ . Thus we may assume that both  $m'$  and  $n'$  are relatively prime to  $p$ . Hence, unless  $m' = n' = 3$  or  $m' = n' = 4$ , one can find two matrices  $x_1, y_1 \in \mathrm{SL}(2, q)$  such that  $x_1^{m'} = id = y_1^{n'}$  and  $\mathrm{tr}(x_1) \neq \mathrm{tr}(y_1)$  (see Lemma 4.1). Let  $s = \mathrm{tr}(x_1)$  and  $t = \mathrm{tr}(y_1)$ .

By Theorem 2.2, there exist two matrices  $x_2, y_2 \in \mathrm{SL}(2, q)$  with  $s = \mathrm{tr}(x_2)$ ,  $t = \mathrm{tr}(y_2)$  and  $\mathrm{tr}(x_2 y_2) = 2$ . Since  $s \neq t$  then  $x_2 y_2 \neq id$ , and hence, by Corollary 4.3, there exist some  $x, y \in \mathrm{SL}(2, q)$  with  $\mathrm{tr}(x) = s$  and  $\mathrm{tr}(y) = t$  satisfying  $z = xy$ .

Now, assume that  $\mathrm{tr}(z) = -2$ . Unless  $m' = n' = 4$ , one can find two matrices  $x_1, y_1 \in \mathrm{SL}(2, q)$  such that  $x_1^{m'} = id = y_1^{n'}$  and  $\mathrm{tr}(x_1) \neq -\mathrm{tr}(y_1)$  (see Lemma 4.1). Let  $s = \mathrm{tr}(x_1)$  and  $t = \mathrm{tr}(y_1)$ . By Theorem 2.2, there exist two matrices  $x_2, y_2 \in \mathrm{SL}(2, q)$  with  $s = \mathrm{tr}(x_2)$ ,  $t = \mathrm{tr}(y_2)$  and  $\mathrm{tr}(x_2 y_2) = -2$ . Since  $s \neq -t$  then  $x_2 y_2 \neq -id$ , and hence, by Corollary 4.3, there exist some  $x, y \in \mathrm{SL}(2, q)$  with  $\mathrm{tr}(x) = s$  and  $\mathrm{tr}(y) = t$  satisfying  $z = xy$ .

It is left to consider the cases  $m' = n' = 4$  and  $m' = n' = 3$ .

For an odd  $q$ , in case  $m' = n' = 4$  we have  $s = \mathrm{tr}(x) = \mathrm{tr}(y) = t = 0$ , and  $\omega_t^2 = -4$ . In Lemma 7.3 it is shown that such pair with  $x \neq y^{-1}$  exists if and only if  $\omega_t \in \mathbb{F}_q$ , therefore if and only if  $q \equiv 1 \pmod{4}$ .

In case  $m' = n' = 3$  we have  $s = \mathrm{tr}(x) = \mathrm{tr}(y) = t = -1$  and  $\omega_t^2 = -3$ . Hence,  $\omega_t \in \mathbb{F}_{p^e}$  if and only if either  $e$  is even or  $e$  is odd and  $p \equiv 1 \pmod{6}$ , namely if and only if  $q = p^e \equiv 1 \pmod{6}$ .

In case  $q = 2^e$  and  $m' = n' = 3$  we have  $s = \mathrm{tr}(x) = \mathrm{tr}(y) = t = 1$ , and  $\nu_{1,2}$  are the roots of the polynomial  $\alpha^2 + \alpha + 1$ . These roots belong to the field  $\mathbb{F}_{2^e}$  if and only if  $e$  is even.  $\square$

The following proposition shows that the condition that neither  $a$  nor  $b$  is divisible by the exponent of  $\mathrm{PSL}(2, q)$  is not sufficient for the surjectivity of the word  $x^a y^b$  on  $\mathrm{PSL}(2, q)$  (and on  $\mathrm{SL}(2, q) \setminus \{-id\}$ ), yielding the obstructions given in Propositions 6.4 and 6.5.

**Proposition 8.3.** *Let  $q$  be a prime power,  $a, b \geq 1$ , and  $z \in \mathrm{SL}(2, q)$  a unipotent element, satisfying the conditions given in the following table.*

|       | $q = p^e$                   | $a, b$                                                              | conditions for $z$                                 | $m$ |
|-------|-----------------------------|---------------------------------------------------------------------|----------------------------------------------------|-----|
| (i)   | $q = 2^e, e \text{ is odd}$ | $a = b = \frac{2(q^2-1)}{3}$                                        | $z \neq id \text{ with } \text{tr}(z) = 0$         | 3   |
| (ii)  | $q \equiv 3 \pmod{4}$       | $a = b = \frac{p(q^2-1)}{8}$                                        | $z \neq \pm id \text{ with } \text{tr}(z) = \pm 2$ | 4   |
| (iii) | $q \equiv 5 \pmod{12}$      | $a, b \in \left\{ \frac{p(q^2-1)}{6}, \frac{p(q^2-1)}{12} \right\}$ | $z \neq id \text{ with } \text{tr}(z) = 2$         | 3   |
| (iv)  | $q \equiv 11 \pmod{12}$     | $a = b = \frac{p(q^2-1)}{6}$                                        | $z \neq id \text{ with } \text{tr}(z) = 2$         | 3   |

Then, in all these cases,  $z$  does not belong to the image of  $w = x^a y^b$ .

*Proof.* By Remark 8.1, for every  $x, y \in \text{SL}(2, q)$  either  $x^a = \pm id$  or  $x^a$  is of order  $m$ , and similarly for  $y^b$ . If  $z = x^a y^b$  is unipotent then necessarily  $x^a \neq \pm id$  and  $y^b \neq \pm id$ , hence both  $x^a$  and  $y^b$  are of order  $m$ . Assume that  $z$  is given as above. According to Proposition 8.2, in all these cases  $z$  cannot be written as a product of two matrices of order  $m$ , hence,  $z$  is not in the image of the word map  $w = x^a y^b$ .  $\square$

**Proposition 8.4.** *Let  $q$  be a prime power,  $a, b \geq 1$ , and  $z \in \text{SL}(2, q)$  a unipotent element, satisfying the conditions given in the following table.*

|       | $q = p^e$                    | $a, b$                                                              | conditions for $z$                                 | $m$ |
|-------|------------------------------|---------------------------------------------------------------------|----------------------------------------------------|-----|
| (i)   | $q = 2^e, e \text{ is even}$ | $a = b = \frac{2(q^2-1)}{3}$                                        | $z \neq id \text{ with } \text{tr}(z) = 0$         | 3   |
| (ii)  | $q \equiv 1 \pmod{4}$        | $a = b = \frac{p(q^2-1)}{8}$                                        | $z \neq \pm id \text{ with } \text{tr}(z) = \pm 2$ | 4   |
| (iii) | $q \equiv 1 \pmod{12}$       | $a = b = \frac{p(q^2-1)}{6}$                                        | $z \neq id \text{ with } \text{tr}(z) = 2$         | 3   |
| (iv)  | $q \equiv 7 \pmod{12}$       | $a, b \in \left\{ \frac{p(q^2-1)}{6}, \frac{p(q^2-1)}{12} \right\}$ | $z \neq id \text{ with } \text{tr}(z) = 2$         | 3   |

Then, in all these cases,  $z$  is in the image of  $w = x^a y^b$ .

*Proof.* According to Proposition 8.2 in all these cases there exist two matrices of order  $m$ ,  $x_1$  and  $y_1$ , such that  $z = x_1 y_1$ . Moreover, by Remark 8.1, any element  $x$  of order  $q-1$  satisfies that  $x^a$  has order  $m$ . Hence, there exist some  $x \in \text{SL}(2, q)$  of order  $q-1$  such that  $x^a = x_1$  (see Section 4). Similarly, one can find some  $y \in \text{SL}(2, q)$  of order  $q-1$  such that  $y^b = y_1$ , and then  $x^a y^b = z$  as needed.  $\square$

### 8.3. Missing $-id$ in the word map.

*Proof of Theorem 1.12.* Assume that  $q$  is odd and let  $K = \max \left\{ k : 2^k \text{ divides } \frac{q^2-1}{2} \right\}$ .

Observe that since  $2^K \mid \frac{q^2-1}{2}$  and  $\gcd(q-1, q+1) = 2$ , then exactly one of the following holds:

- either  $q-1 = 2^K \cdot m$  and  $q+1 = 2 \cdot l$  for some odd integers  $l, m$ ;
- or  $q+1 = 2^K \cdot m$  and  $q-1 = 2 \cdot l$  for some odd integers  $l, m$ .

If  $2^K \nmid a$  then one can write  $a = 2^k a'$  for some  $k < K$  and some odd integer  $a'$ . Without loss of generality we may assume that  $q-1 = 2^K \cdot m$  for some odd integer  $m$ .

Let  $x_1 \in \text{SL}(2, q)$  be some element of order  $q-1$  and let  $x = x_1^{2^{K-k-1}}$ , then

$$x^a = (x^{2^k})^{a'} = (x_1^{2^{K-1}})^{a'} = (x_1^{\frac{q-1}{2}})^{ma'} = (-id)^{ma'} = -id,$$

and hence  $-id = x^a id^b$  as needed.

On the other direction, if  $2^K \mid a$  then since any element  $x$  in  $\mathrm{SL}(2, q)$  is either of order  $p$  or of order dividing  $q - 1$  or of order dividing  $q + 1$ , we deduce that  $x^a$  is either trivial or of odd order. Similarly, if  $2^K \mid b$  then for any element  $y \in \mathrm{SL}(2, q)$ ,  $y^b$  is either trivial or of odd order.

If  $-id = x^a y^b$  then neither  $x^a$  nor  $y^b$  is trivial. Let  $l$  and  $m$  be the orders of  $x^a$  and  $y^b$  respectively, then both  $l, m$  are odd and divide either  $q - 1$  or  $q + 1$ . Without loss of generality we may assume that both orders of  $x$  and  $y$  divide  $q - 1$ , and that  $x^a$ , and so also  $y^b$ , are in diagonal form, namely:

$$x^a = \begin{pmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{pmatrix}, \quad y^b = \begin{pmatrix} \mu & 0 \\ 0 & \mu^{-1} \end{pmatrix},$$

for some  $\lambda, \mu \in \mathbb{F}_q$  satisfying  $\lambda^l = 1$  and  $\mu^m = 1$ .

Hence,

$$-id = x^a y^b = \begin{pmatrix} \lambda\mu & 0 \\ 0 & \lambda^{-1}\mu^{-1} \end{pmatrix},$$

implying that  $\lambda\mu = -1$ , but then, since  $lm$  is odd,

$$-1 = (-1)^{lm} = (\lambda\mu)^{lm} = (\lambda^l)^m (\mu^m)^l = 1 \cdot 1 = 1,$$

yielding a contradiction. □

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BANDMAN: DEPARTMENT OF MATHEMATICS, BAR-ILAN UNIVERSITY, 52900 RAMAT GAN, ISRAEL

*E-mail address:* bandman@macs.biu.ac.il

GARION: INSTITUT DES HAUTES ÉTUDES SCIENTIFIQUES, 91440 BURES-SUR-YVETTE, FRANCE

*E-mail address:* shellyg@ihes.fr