# Generalized matrix models and AGT correspondence at all genera

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#### **ABSTRACT**

We study generalized matrix models corresponding to n-point Virasoro conformal blocks on Riemann surfaces with arbitrary genus g. Upon AGT correspondence, these describe four dimensional  $\mathcal{N}=2$   $SU(2)^{n+3g-3}$  gauge theories with generalized quiver diagrams. We obtain the generalized matrix models from the perturbative evaluation of the Liouville correlation functions and verify the consistency of the description with respect to degenerations of the Riemann surface. Moreover, we derive the Seiberg-Witten curve for the  $\mathcal{N}=2$  gauge theory as the spectral curve of the generalized matrix model, thus providing a check of AGT correspondence at all genera.

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#### 1 Introduction

The distinctive feature of M-theory is the description in geometrical terms of non-perturbative phases of superstrings. This approach is very effective for local geometries, where the dynamics of gravitational degrees of freedom gets decoupled and we gain a framework for the description of non-perturbative gauge theory dynamics. M-theory beautifully encodes the Seiberg-Witten geometry of four dimensional  $\mathcal{N}=2$  theories in terms of M5-brane compactifications [1, 2, 3]. In particular in [3] a full class of generalized quiver gauge theories has been described in terms of multiple M5-brane systems covering a generic punctured Riemann surface  $\mathcal{C}_{g,n}$ . For example, for  $\mathcal{C}_{0,n}$  and  $\mathcal{C}_{1,n}$  one recovers Witten's constructions of linear and circular quivers in the appropriate degeneration limits.

In this context a very intriguing relation between the partition function of four dimensional SU(2) superconformal  $\mathcal{N}=2$  gauge theories [4] and Liouville theory on  $\mathcal{C}_{g,n}$  has been discovered in [5]. This proposal has been subject of intensive investigations and refinements from different viewpoints. Evidence for this conjecture as well as complete proofs for some cases can be found in [6, 7]. Extensions to higher rank gauge groups and Toda field theories were introduced and discussed in [8]. The refinement of the correspondence in presence of gauge theory observables has been presented and studied in [9, 10]. Moreover, some arguments for the derivation of the AGT correspondence were proposed in the M-theory context in [11] and via matrix models in [12, 13, 14, 15, 16, 17, 18].

Here we would like to address this correspondence from a complementary point of view, explaining how to recover the geometry of the M-theory set-up and the Seiberg-Witten data starting from the analysis of Liouville theory in the wildest generality. To this end we derive a generalized matrix model from Liouville theory on  $C_{g,n}$  and study its large N limit recovering the gauge theory Seiberg-Witten curve as its spectral curve. This provides a check of the AGT conjecture at all genera.

In Section 2 we derive the generalized matrix model – as extended Selberg integrals – starting from the Coulomb gas representation of the residues of the perturbative Liouville theory correlators. The matrix model potential that we get has the form anticipated by [12] and in the elliptic case it coincides with the one derived in [17].

In Section 3 we discuss the stability of this picture and its consistency with respect to the degeneration of the curve  $C_{g,n}$  in general and present the degenerations of punctured tori as an explicative example.

In Section 4 we analyze the large N limit and show how, by consistently adapting to our case the standard matrix model techniques, one gets a spectral curve in terms of quadratic differentials on  $C_{g,n}$  precisely reproducing the Seiberg-Witten curve and differential proposed in [3].

We leave our concluding remarks to Section 5 and devote an Appendix to the detailed study of the degenerations of the  $C_{2,0}$  Seiberg-Witten data.

### 2 From Liouville theory to generalized matrix model

In this section we derive the generalized matrix model which corresponds to the n point conformal block on a Riemann surface  $C_g$  of genus g. We derive it from the perturbative calculation of the correlation function of the Liouville theory by following the discussion in [19].

The *n*-point function of the Liouville theory on  $C_q$  is given by the following path integral

$$A \equiv \left\langle \prod_{k=1}^{n} e^{-2m_k \phi(w_k, \bar{w}_k)} \right\rangle_{\text{Liouville on } \mathcal{C}_g} \equiv \int \mathcal{D}\phi(z, \bar{z}) e^{-S[\phi]} \prod_{k=1}^{n} e^{-2m_k \phi(w_k, \bar{w}_k)}, \tag{2.1}$$

where the Liouville action is given by

$$S[\phi] = \frac{1}{4\pi} \int d^2z \sqrt{g} (g^{ab} \partial_a \phi \partial_b \phi + QR\phi + 4\pi \mu e^{2b\phi}). \tag{2.2}$$

We divide the Liouville field into the zero mode and the fluctuation  $\phi(z,\bar{z})=\phi_0+\tilde{\phi}(z,\bar{z})$ , obtaining

$$A = \int \mathcal{D}\tilde{\phi}e^{-\tilde{S}} \prod_{k=1}^{n} e^{-2m_k \tilde{\phi}(w_k, \bar{w}_k)} \int_{-\infty}^{+\infty} d\phi_0 e^{-\mu e^{2b\phi_0} \int d^2 z \sqrt{g} e^{2b\tilde{\phi}}} e^{-\frac{Q\phi_0}{4\pi} \int d^2 z \sqrt{g} R} e^{-2\phi_0 \sum_k m_k}, \quad (2.3)$$

where

$$\tilde{S} = \frac{1}{4\pi} \int d^2 z \sqrt{g} (g^{ab} \partial_a \tilde{\phi} \partial_b \tilde{\phi} + QR \tilde{\phi}). \tag{2.4}$$

We can integrate out the zero mode  $\phi_0$  as

$$\int_{-\infty}^{+\infty} d\phi_0 \ e^{-\mu e^{2b\phi_0} \int d^2 z \sqrt{g} e^{2b\tilde{\phi}}} e^{-2(g-1)Q\phi_0} e^{-2\phi_0 \sum_k m_k} = \frac{\mu^N \Gamma(-N)}{2b} \left( \int d^2 z \sqrt{g} e^{2b\tilde{\phi}} \right)^N, \quad (2.5)$$

where we have used  $\int d^2z\sqrt{g}R=4\pi\chi=8\pi(1-g)$  and N is defined as

$$N \equiv \frac{1}{b} \sum_{k} m_k + \frac{Q}{b} (1 - g). \tag{2.6}$$

Therefore, the n-point function can be written as

$$A = \frac{\mu^N \Gamma(-N)}{2b} \int \mathcal{D}\tilde{\phi} e^{-\tilde{S}} \left( \int d^2 z \sqrt{g} e^{2b\tilde{\phi}} \right)^N \prod_{k=1}^n e^{-2m_k \tilde{\phi}(w_k, \bar{w}_k)}. \tag{2.7}$$

When  $N \in \mathbb{Z}_{\geq 0}$ , the correlator diverges due to the factor  $\Gamma(-N)$ . The residues  $A_N$  at these simple poles are computed then in perturbation theory in b around the free scalar field action (2.4). From now on, our convention is that

$$\langle \ldots \rangle_{\text{free on } \mathcal{C}_g} = \int \mathcal{D}\tilde{\phi} e^{-\frac{1}{4\pi} \int d^2 z \sqrt{g} g^{ab} \partial_a \tilde{\phi} \partial_b \tilde{\phi}} \ldots, \tag{2.8}$$

which leads to

$$A_N = \frac{(-\mu)^N}{2bN!} \left\langle e^{-\frac{Q}{4\pi} \int d^2 z \sqrt{g} R\tilde{\phi}(z)} \prod_{i=1}^N \int d^2 z_i \sqrt{g} e^{2b\tilde{\phi}(z_i)} \prod_{k=1}^n e^{-2m_k \tilde{\phi}(w_k)} \right\rangle_{\text{free on } \mathcal{C}_q}.$$
 (2.9)

The condition (2.6) ensures momentum conservation in the free theory.

Here we choose as a reference volume form  $d^2z\sqrt{g}=|\omega(z)dz|^2$  where  $\omega(z)$  is the coefficient of a reference holomorphic differential. This differential has 2g-2 zeros, which we denote by  $\xi_I$   $(I=1,\cdots 2g-2)$ . Then, the first factor in the expectation value of (2.9) becomes

$$\frac{Q}{2\pi} \int d^2 z \, \tilde{\phi}(z) \partial \bar{\partial} \log |\omega|^2 = \frac{Q}{2\pi} \int d^2 z \, \tilde{\phi}(z) \sum_{I=1}^{2g-2} (2\pi) \delta^2(z - \xi_I) = Q \sum_{I=1}^{2g-2} \tilde{\phi}(\xi_I), \qquad (2.10)$$

where we have used  $R=-(2/\sqrt{g})\partial\bar{\partial}\log\sqrt{g}$ . Thus, we obtain

$$A_{N} = \frac{(-\mu)^{N}}{2bN!} \left\langle \prod_{I=1}^{2g-2} e^{Q\tilde{\phi}(\xi_{I})} \int \prod_{i=1}^{N} d^{2}z_{i} |\omega(z_{i})|^{2} e^{2b\tilde{\phi}(z_{i})} \prod_{k=1}^{n} e^{-2m_{k}\tilde{\phi}(w_{k})} \right\rangle_{\text{free on } \mathcal{C}_{a}}.$$
 (2.11)

The  $\ell$ -point function of the free theory on  $\mathcal{C}_g$  is given in the factorized form as [20, 21, 22]

$$\left\langle \prod_{i=1}^{\ell} e^{ik_i \phi(z_i, \bar{z}_i)} \right\rangle_{\text{free on } \mathcal{C}} = \left( \det \operatorname{Im} \tau \right)^{1/2} \delta(\sum_i k_i) \times \int_{-\infty}^{\infty} \prod_{a=1}^{g} dp_a \left| \prod_{i=1}^{\ell} \omega(z_i)^{-k_i^2/4} \prod_{i < j} E(z_i, z_j)^{k_i k_j/2} \exp\left( 2\pi i \sum_{a, b} p_a p_b \tau_{ab} + 2\pi i \sum_{a, i} p_a k_i \int_{-\infty}^{z_i} \omega_a \right) \right|^2.$$
(2.12)

where  $\tau_{ab}$  is the period matrix,  $E\left(z_i,z_j\right)$  is the prime form,  $\{\omega_a\}$  is a basis of normalized holomorphic one-forms, and  $p_a$  is interpreted as the momentum flowing through the a-th A-cycle.

Using the explicit expression (2.12) for (2.9), we find that the residue  $A_N$  of the n-point function of the Liouville theory reduces to the following integral

$$A_{N} \propto \prod_{a=1}^{g} \int_{-\infty}^{+\infty} dp_{a} \left| \exp \left( 2\pi i \sum_{a,b} p_{a} p_{b} \tau_{ab} + 2\pi \sum_{a} p_{a} \left( Q \sum_{I} \int^{\xi_{I}} \omega_{a} - 2 \sum_{k} m_{k} \int^{w_{k}} \omega_{a} \right) \right) \right|^{2}$$

$$\prod_{i=1}^{N} \int d^{2} z_{i} |\omega(z_{i})|^{2+2b^{2}} \left| \exp \left( 4\pi b \sum_{a,i} p_{a} \int^{z_{i}} \omega_{a} \right) \right|^{2}$$

$$\left| \prod_{i < j} E(z_{i}, z_{j})^{-2b^{2}} \prod_{i,k} E(z_{i}, w_{k})^{2bm_{k}} \prod_{I,i} E(\xi_{I}, z_{i})^{-1-b^{2}} \right|^{2}, \qquad (2.13)$$

up to  $z_i$ -independent factors.

As in the torus case [17], it is not straightforward to factorize the integrals over the Riemann surface into holomorphic and anti-holomorphic integrals for generic N. However this is easily performed in the large N limit. Indeed, the last two-lines of (2.13) can be written as

$$\int \prod_{i} d^{2}z_{i} \left| \mu e^{\frac{b}{g_{s}}W} \right|^{2} \sim \left| \int \prod dz_{i} \mu e^{\frac{b}{g_{s}}W} \right|^{2}$$
(2.14)

where  $\mu$  and W are

$$\mu = \left[ \omega(z_i)^{1+b^2} \prod_{i,I} E(z_i, \xi_I)^{-1-b^2} \right] \prod_{1 \le i < j \le N} E(z_i, z_j)^{-2b^2} \prod_i E(z_i, z^*)^{2b \sum_k m_k/g_s}$$
 (2.15)

$$W = \sum_{i=1}^{N} \left( \sum_{k=1}^{n} 2m_k \log \frac{E(z_i, w_k)}{E(z_i, z^*)} + 4\pi \sum_{a=1}^{g} p_a \int^{z_i} \omega_a \right).$$
 (2.16)

where we have chosen a base point  $z^*$  in order to split the measure from the potential and we have rescaled the parameters as  $m_k \to m_k/g_s$  and  $p_a \to p_a/g_s$ . Notice that the term in the square brackets in (2.15) is independent on the zeroes of the conformal factor, ensuring therefore that the generalized matrix model correctly embodies the conformal symmetry of Liouville theory.

The large N limit amounts to take  $g_s \to 0$  keeping  $g_s N$ , b,  $m_k$  and  $p_a$  finite. In this limit, the conditions for the criticality are given by

$$b\sum_{j\neq i} \frac{E'(z_i, z_j)}{E(z_i, z_j)} dz_i - \sum_{k=1}^n m_k \frac{E'(z_i, w_k)}{E(z_i, w_k)} dz_i - 2\pi \sum_{a=1}^g p_a \omega_a(z_i) = 0$$
 (2.17)

where  $E'(z_1, z_2) \equiv \partial_{z_1} E(z_1, z_2)$ . The conditions obtained from the  $\bar{z}_i$ -derivatives are just the complex conjugate of (2.17). It is remarkable that the conditions for criticality are separated into holomorphic and anti-holomorphic equations, which implies that the integrals over the Riemann surface in (2.13) can be factorized into holomorphic and anti-holomorphic integrals in the large N limit as stated in (2.14). We are therefore left with the following matrix-like integral

$$Z_{N}^{C_{g,n}}(\mathbf{w}, \mathbf{m}, \mathbf{p}, \mathbf{v}) \equiv \int \prod_{i=1}^{N} dz_{i} \left[ \omega(z_{i})^{1+b^{2}} \prod_{i,I} E(z_{i}, \xi_{I})^{-1-b^{2}} \right] \prod_{1 \leq i < j \leq N} E(z_{i}, z_{j})^{-2b^{2}} \prod_{i} E(z_{i}, z^{*})^{2b \sum_{k} m_{k}/g_{s}} \times \exp \left( \frac{b}{g_{s}} \sum_{i=1}^{N} \left( \sum_{k=1}^{n} 2m_{k} \log \frac{E(z_{i}, w_{k})}{E(z_{i}, z^{*})} + 4\pi \sum_{a=1}^{g} p_{a} \int^{z_{i}} \omega_{a} \right) \right),$$
(2.18)

where  $w = \{w_k\}$ ,  $m = \{m_k\}$ ,  $p = \{p_a\}$  and  $v = \{\nu_\alpha\}$  are the filling fractions  $\nu_\alpha \equiv bg_sN_\alpha$  which specify the holomorphic integral above. The integrand in (2.18) is a proper one-from in each variable  $z_i$  on the covering space of the Riemann surface due to momentum conservation (2.6). The matrix model potential that we find is in the form anticipated by [12].

In order to count the number of moduli of our matrix model we should note that there are n+2g-3 independent filling fractions: naively the number of critical points of the action is 2g-2+n+1. However, there are constraints coming from the fact that we are free to move the base point  $z^*$  and that we have specified the residue at the base point as above by using the momentum conservation. The latter is equivalent to the constraint on the sum of filling fractions  $\sum_{\alpha} \nu_{\alpha} = bg_s N$ . These constraints reduce the number of moduli by two, thus giving the correct counting. The paths of the integrals are defined such that only the solution of (2.17) labeled by the fixed filling fractions  $\{\nu_{\alpha}\}$  contributes to the integrals. The measure factor in (2.18) can be regarded as a generalization of the Vandermonde determinant. The differential  $dz\partial_z W$  has simple poles with residues  $(\{2m_k\}, -2\sum_k m_k)$  at the points  $(w_k, z^*)$ .

The integral in (2.13) is then obtained by integrating (2.18) and its complex conjugate over the filling fractions. Thus, in the large N limit,  $A_N$  becomes

$$A_{N} = \int_{-\infty}^{\infty} \prod_{a=1}^{g} dp_{a} \int \prod_{k=1}^{n+2g-3} d\nu_{k} \left| \left( \prod_{1 \le k < l \le n} E(w_{k}, w_{l})^{-2m_{k}m_{l}/g_{s}^{2}} \right) \right.$$

$$\left. \exp \left( 2\pi i \sum_{a=1}^{g} \sum_{b=1}^{g} p_{a} \tau_{ab} p_{b} - 4\pi \sum_{k=1}^{n} \sum_{a=1}^{g} m_{k} p_{a} \int_{0}^{w_{k}} \omega_{a} \right) Z_{N}^{\mathcal{C}_{g,n}}(\mathbf{w}, \mathbf{m}, \mathbf{p}, \mathbf{v}) \right|^{2}.$$
(2.19)

At the level of the generalized matrix model, the filling fractions  $\nu_{\alpha}$  are free parameters. Together with  $p_a$   $(a=1,\ldots,g)$  which are independent parameters in the potential, we have totally n+3g-3 independent moduli which are identified with the internal momenta in the Liouville conformal block and then with the Coulomb moduli of the gauge theory. Under this identification, we see from (2.19) that  $Z_N^{\mathcal{C}_{g,n}}(\mathbf{w},\mathbf{m},\mathbf{p},\mathbf{v})$  is proportional to the conformal block of Liouville theory [23].

### 3 Degenerations

To study the behavior of the generalized matrix model when approaching perturbative corners in the space of gauge couplings, we have to study what happens when we degenerate  $C_{g,n}$ . The degeneration is usually described by using the plumbing fixture decomposition of the curve. Let  $\mathcal{U}_t$  be the annulus

$$\mathcal{U}_t = \{(z, w) | zw = t; |t| < |z| < 1; |t| < |w| < 1\}$$

which as  $t \sim 0$  describes the squeezed cylinder. The curve undergoes the decomposition  $\mathcal{C}_{g,n} = \mathcal{C}_{g-1,n,2} \cup \mathcal{U}_t$  when the degeneration is of pinching type and  $\mathcal{C}_{g,n} = \mathcal{C}_{g_1,n_1,1} \cup \mathcal{U}_t \cup \mathcal{C}_{g_2,n_2,1}$  with  $g_1 + g_2 = g$  and  $n_1 + n_2 = n$ , with  $1 - 2g_i - n_i < 0$ , when the degeneration is dividing. The components  $\mathcal{C}_{g,n,h}$  are here Riemann surfaces with genus g, n punctures and h non overlapping disks removed which will become the punctures in the degeneration limit. The fact that the holomorphic integrals react correctly under the degeneration of the curve  $\mathcal{C}_{g,n}$  is a remnant of the analogous property of the conformal field theory [24] and is indeed a consequence of the construction we performed in the previous section. We assume the shrinking cycle do not intersect the contour system along which (2.18) is evaluated.

Let us focus on the dividing case first. In this case the prime form E(z,z') behaves as follows. If both its arguments belong to a given same component, the prime form reduces to the prime form on that component, while if its arguments belong to different components, then  $E(z',z'') \sim E_1(z',P_1)E_2(P_2,z'')t^{-1/2}$ , where  $P_{1,2}$  correspond to the punctures created by the dividing. To see what the prime form degeneration implies for the generalized matrix model measure and potential, we have to split the integration contours in components according to the dividing decomposition. This splits the  $\{z_i\}$  in two sets according to which components of the contour they are integrated along, namely N' of them on the first component and N'' on the second with N=N'+N''. Correspondingly, also the puncture set will split in two subsets  $\mathbf{w}=\mathbf{w}'\cup\mathbf{w}$ , one for each component. By using the above degeneration formulas for the prime form and the fact that the holomorphic harmonic differentials  $\omega_a$  reduce to the ones relative to the two splitting factors, we get that

$$Z_N^{\mathcal{C}_{g,n}}(\mathbf{w},\mathbf{m},\mathbf{p},\mathbf{v}) \sim Z_{N'}^{\mathcal{C}_{g_1,n_1+1}}(\mathbf{w}' \cup P_1,\mathbf{m}' \cup m_1^*,\mathbf{p}',\mathbf{v}') Z_{N''}^{\mathcal{C}_{g_2,n_2+1}}(\mathbf{w}'' \cup P_2,\mathbf{m}'' \cup m_2^*,\mathbf{p}'',\mathbf{v}'') \tag{3.1}$$

where 
$$m_1^*=bg_sN'-\sum_{k'}m_{k'}+g_sQ(g_1-1)$$
 and  $m_2^*=bg_sN''-\sum_{k''}m_{k''}+g_sQ(g_2-1)$  after using

momentum conservation. In the computation of (3.1) one needs to count the two extra zeros for the reference holomorphic differential to be placed at the location of the two resulting punctures. The direct computation of the above mass formulas from the integral (2.18) indeed gives, for example,  $m_1^* = -bg_sN'' - g_2g_sQ + \sum_{k''}m_{k''}$  where the first term comes from the generalized Vandermonde, the second from the measure term in the square bracket and the third from the punctures. The computation of  $m_2^*$  is identical. Notice that  $m_1^* + m_2^* = -g_sQ$  corresponding to the fact that the two Liouville insertions generated at the punctures are conjugated and therefore the masses of the two flavors at the two punctures  $P_i$  are equal. The conformal modulus which gets traded to the mass is the total filling fraction between the two integrals. Formula (3.1) is valid for each dividing degeneration such that  $g = g_1 + g_2$ ,  $n = n_1 + n_2$  with  $1 - 2g_i - n_i < 0$ .

In the pinching case, the prime form restricts to the one of the degenerate surface together with the holomorphic differentials with zero  $\alpha_g$ -cycle. The left over one scales as  $\omega_g(z) \sim \partial_z \log \frac{E(z,P_1)}{E(z,P_2)}$  up to O(t) terms. In this limit, since  $\omega$  in the conformal factor has been chosen to be regular, two of its zeroes will be at the two punctures generated at the pinching node and by direct computation one gets

$$Z_N^{\mathcal{C}_{g,n}}(\mathtt{w},\mathtt{m},\mathtt{p},\mathtt{v}) \sim Z_N^{\mathcal{C}_{g-1,n+2}}(\mathtt{w} \cup \{P_1,P_2\},\mathtt{m} \cup \{m_+^*,m_-^*\},\mathbf{\hat{p}},\mathtt{v}) \tag{3.2}$$

where  $\hat{p}_a$  are the momenta in the g-1 left over handles and

$$m_{\pm}^* = -\frac{g_s Q}{2} \pm 2\pi p_g,\tag{3.3}$$

with  $p_g$  the momentum in the squeezed one. The two contributions to the above mass formulas arise respectively from the term in the square brackets in the measure and the second term in the potential. Once again, the two masses at the generated punctures are Weyl conjugated  $m_+^* + m_-^* = -g_sQ$ . In the pinching case the conformal modulus which is traded for the mass is the momentum flowing in the squeezed handle. The formulas above are general and valid at finite N.

In the following subsection we will discuss in detail the punctured torus case as an illustration. One could also study for example the punctured genus two case. This case is special since all degenerations reduce to punctured tori \*. We discuss some aspects of the Seiberg-Witten geometry on genus two curves in the Appendix.

<sup>\*</sup>The dividing degeneration  $\mathcal{C}_{2,n} \to \mathcal{C}_{1,n'+1} \cup \mathcal{C}_{1,n-n'+1}$  generates two punctured tori. Indeed, the genus two prime form in such a degeneration reduces to the relevant  $\theta$ -functions on the two tori since the period matrix at genus two becomes diagonal in the degeneration limit. In the pinching case  $\mathcal{C}_{2,n} \to \mathcal{C}_{1,n+2}$ , the genus two  $\theta$ -function entering the explicit expression of the prime from as  $E(z,w) = \frac{\theta\left(\int_w^z \overline{\omega},\tau\right)}{\sqrt{\omega_\square(z)}\sqrt{\omega_\square(w)}}$  contracts to the torus  $\theta$ -function times a contribution from the off-diagonal term of the period matrix which cancels in the degeneration limit the square-roots of the abelian differentials appearing in the denominator of the prime form.

#### 3.1 Degenerations of punctured tori

In this subsection, we concentrate on the pinching degeneration of a torus which leads to a sphere with two more punctures. Associated with the torus with n punctures we can consider a class of quiver gauge theories [2, 3] whose particular weak coupling descriptions include a gauge theory with circular quiver. Specifying a particular weak coupling description corresponds to choosing a particular pants decomposition of the Riemann surface. This gauge theory will reduce in the pinching of the torus to a linear quiver theory with n-1 SU(2) gauge groups associated with a sphere with n+2 punctures. In what follows, we verify that the generalized matrix model correctly reduces to the Penner type matrix model on the sphere [12].

Since on the torus the canonical bundle is trivial, the choice of a base point is not needed. The prime form is  $E(z,w)=\frac{\theta_1(z-w|\tau)}{\theta_1'(0|\tau)}$  and therefore the generalized matrix model (2.18) reduces to

$$Z_N^{\mathcal{C}_{1,n}} \sim \int \prod_{i=1}^N dz_i \prod_{i < j} \theta_1 (z_i - z_j)^{-2b^2} e^{\frac{b}{g_s} \sum_i W(z_i)}, \tag{3.4}$$

up to  $z_i$ -independent factors. The potential is

$$W(z) = \sum_{k=1}^{n} 2m_k \log \theta_1(z - w_k) + 8\pi^2 ipz,$$
(3.5)

and

$$\theta_1(z) = 2\sin(\pi z) \prod_{m=1}^{\infty} (1 - e^{2\pi i z} q^m) (1 - e^{-2\pi i z} q^m) (1 - q^m), \tag{3.6}$$

with  $q = e^{2\pi i \tau}$ . The momentum conservation is given by

$$-\sum_{k=1}^{n} m_k + bg_s N = 0. (3.7)$$

Also, the identification of the moduli of the torus and the gauge coupling constants of SU(2) gauge groups  $q_k$  is as follows [5, 17]:

$$e^{2\pi i(w_1-w_2)} = q_1, \quad e^{2\pi i(w_2-w_3)} = q_2, \dots, \quad e^{2\pi i(w_{n-1}-w_n)} = q_{n-1}, \quad e^{2\pi i\tau} = \prod_{k=1}^n q_k,$$
 (3.8)

which, by fixing  $w_n = 0$ , leads to

$$e^{2\pi i w_{n-1}} = q_{n-1} \equiv t_{n-1}, \quad e^{2\pi i w_{n-2}} = q_{n-2} q_{n-1} \equiv t_{n-2}, \quad \dots, \quad e^{2\pi i w_1} = q_1 \dots q_{n-1} \equiv t_1. \quad (3.9)$$

The mass parameters and one of the Coulomb moduli correspond to  $m_k$  and p respectively.

Let us consider the pinching degeneration of the torus. We take  $\text{Im}\tau \to \infty$  which corresponds in the gauge theory to the decoupling limit of the n-th gauge group  $q_n \to 0$ . To consider the behavior of the generalized matrix model in this limit, we first observe that the prime form reduces as

$$(dz)^{-1/2} (dw)^{-1/2} \frac{\theta_1(z-w)}{\theta_1'(0)} \rightarrow (dz)^{-1/2} (dw)^{-1/2} \frac{\sin \pi(z-w)}{\pi}$$

$$= (d\xi)^{-1/2} (d\zeta)^{-1/2} (\xi-\zeta),$$
(3.10)

where in the last line we have changed coordinates to  $\xi=e^{2\pi iz}$  and  $\zeta=e^{2\pi iw}$ . It is straightforward to see that the Vandermonde determinant of (3.4) reduces to that of the usual  $\beta$ -deformed matrix model. The potential also reduces to

$$W(\xi) = \sum_{k=1}^{n} 2m_k \log(\xi - t_k) + 2(-g_s Q/2 + 2\pi p) \log \xi,$$
(3.11)

where we have used (3.9) with  $t_n=1$ . Note that the first term corresponding to the momentum at  $\xi=0$  comes from the measure factor  $\omega(z)^{1+b^2}$  of the generalized matrix model (2.18). By the pinching, the punctures at  $\xi=0$  and  $\infty$  are created. However, the latter disappeared from the potential, which thus reduces exactly to the Penner type matrix model [12, 16]  $^{\dagger}$ 

$$W(z) = \sum_{k=0}^{n-1} 2m_k \log(z - t_k) + 2m_n \log(z - 1), \tag{3.12}$$

with the momentum conservation

$$-\sum_{k=0}^{n} m_k - m_{\infty} + bg_s N = g_s Q,$$
(3.13)

where  $m_{\infty}$  is the momentum inserted at infinity. The relation between the parameters  $t_k$  and the gauge couplings [16] (See also [7]) is the same as the one defined in (3.9) with  $t_0 = 0$ . It follows from (3.11) that  $m_0 = -g_s Q/2 + 2\pi p$ .

Let us then analyze the momentum conservation under this degeneration. On one hand, in the original generalized matrix model, the conservation is described by (3.7). On the other hand, in the Penner type one, the conservation is (3.13). The momentum at infinity is then

$$m_{\infty} = -\frac{g_s Q}{2} - 2\pi p. {(3.14)}$$

These values of the momenta  $m_0$  and  $m_\infty$  are the ones which were already derived in the generic analysis of the previous section (3.3). Note that there is a slight difference between the momenta  $m_0$  and  $m_\infty$ , which however disappears in the large N limit.

<sup>&</sup>lt;sup>†</sup>The convention here is slightly different from the one in [12, 15, 16]. The momenta are related as  $2m = m_{\rm DV}$ . Our convention leads to the momentum conservation (3.13).

The original generalized matrix model has n-1 independent filling fractions  $\nu_{\alpha}$ . Recall that the overall  $\sum_{\alpha} \nu_{\alpha} = bg_s N$  is constrained by the momentum conservation. Thus, by adding p, we have n independent parameters which are identified with the vevs of the vector multiplet scalars. The degeneration limit and the above argument mean that p in the action is the vev of the n-th SU(2) vector multiplet scalar and some combinations of the filling fractions are the vevs of the other SU(2) scalars.

### 4 Spectral curve of the generalized matrix model

In this section, we derive the spectral curve of the generalized matrix model (2.18) in the large N limit and show that it coincides with the Seiberg-Witten curve of the corresponding gauge theory.

In the large N limit, the evaluation of (2.18) reduces to the calculation of the critical points. The condition for criticality is given by

$$dW(z_i) - 2bg_s \sum_{j \neq i} d_{z_i} \log \left( \frac{E(z_i, z_j)}{E(z_i, z_*)} \right) = 0, \tag{4.1}$$

where the potential W(z) is defined in (2.16) and we have used the momentum conservation (2.6). Then, the genus zero prepotential, defined as  $\exp(\mathcal{F}/g_s^2) \equiv Z$ , is given by

$$\frac{1}{g_s^2} \mathcal{F} = \frac{b}{g_s} \sum_i W(z_i) - 2b^2 \sum_{i < j} \log \left( \frac{E(z_i, z_j)}{E(z_i, z^*)} \right), \tag{4.2}$$

where each eigenvalue satisfies (4.1).

It is natural to assume that the eigenvalues are distributed in line segments around the critical points of W(z), similarly to the usual matrix model. Indeed the second term in (4.2) reduces locally to the standard Coulomb gas potential. We denote the line segments as  $C_{\alpha}$  where  $\alpha=1,\cdots,n+2g-2$ . We assume that  $C_{\alpha}$  do not include the base point  $z^*$  and the punctures  $w_k$ , at which the potential W(z) diverges. We denote by  $N_{\alpha}$  the number of eigenvalues on the line segment  $C_{\alpha}$ , where  $N_{\alpha}$  satisfies  $\sum_{\alpha=1}^{n+2g-2} N_{\alpha} = N$ .

Let us introduce the eigenvalue density current  $\rho(z)$  supported on  $\{C_{\alpha}\}$  and normalized as  $\oint_{C_{\alpha}} \rho(z) = bg_s N_{\alpha} \equiv \nu_{\alpha}$ . Using the variables introduced above, the prepotential and the condition for criticality are written as

$$\mathcal{F} = \int_{\sum_{\alpha} C_{\alpha}} \rho(z) W(z) - \int_{\sum_{\alpha} C_{\alpha}} \int_{\sum_{\alpha} C_{\alpha}} \rho(z) \rho(z') \log \frac{E(z, z')}{E(z, z^*)}, \tag{4.3}$$

$$dW(z) - 2 \int_{\sum_{\alpha} C_{\alpha}} \rho(z') d_z \log \left( \frac{E(z, z')}{E(z, z^*)} \right) = 0, \tag{4.4}$$

respectively. Here, z in (4.4) is on either of the line segment  $C_{\alpha}$  and the integral is defined as the principal integral.

In order to solve the above condition (4.4), we define the following one form, which is the generalization of the resolvent of the usual matrix model

$$R(z) \equiv \int_{\sum_{\alpha} C_{\alpha}} \rho(z') d_z \log \left( \frac{E(z, z')}{E(z, z^*)} \right), \tag{4.5}$$

This "resolvent" is defined at generic points z on the Riemann surface contrary to the second term in (4.4). Note that the resolvent as well as dW(z) are single-valued one-forms on the Riemann surface. The resolvent has cuts at the line segments  $C_{\alpha}$  and a simple pole at  $z^*$ . Also, the filling fractions are obtained by integrating the resolvent along the cuts as

$$\nu_{\alpha} = \frac{1}{2\pi i} \oint_{C_{\alpha}} R(z). \tag{4.6}$$

On the line segments  $C_{\alpha}$ , the resolvent behaves as

$$R(z + i\varepsilon e^{i\varphi(z)}) + R(z - i\varepsilon e^{i\varphi(z)}) = 2P \int_{\sum_{\alpha} C_{\alpha}} \rho(z') d_z \log\left(\frac{E(z, z')}{E(z, z^*)}\right) = dW(z), (4.7)$$

$$R(z + i\varepsilon e^{i\varphi(z)}) - R(z - i\varepsilon e^{i\varphi(z)}) = \oint_{z} \rho(z') d_{z} \log\left(\frac{E(z, z')}{E(z, z^{*})}\right) = -2\pi i \rho(z)$$
(4.8)

where we take real number  $\varepsilon$  infinitely small and  $\varphi(z)$  is properly defined such that  $z+i\varepsilon e^{i\varphi(z)}$  or  $z-i\varepsilon e^{i\varphi(z)}$  does not go across the cuts  $C_\alpha$  when z moves along  $C_\alpha$ . The integral in (4.7) is principal integration, which is given as an average of integral along the path above the singularity and that below the singularity. The resolvent should be determined such that (4.7) and (4.8) are satisfied for  $z \in C_\alpha$ . A candidate of the solution for (4.7) is

$$R_0(z) = \frac{1}{2}dW(z). (4.9)$$

However, it does not reproduce the correct structure of singularity expressed in (4.8). We need singular contributions:

$$R(z) = \frac{1}{2}dW(z) + R(z)_{\text{sing}},$$
 (4.10)

where (4.7) and (4.8) impose

$$R(z + i\varepsilon e^{i\varphi(z)})_{\text{sing}} + R(z - i\varepsilon e^{i\varphi(z)})_{\text{sing}} = 0.$$
(4.11)

$$R(z + i\varepsilon e^{i\varphi(z)})_{\text{sing}} - R(z - i\varepsilon e^{i\varphi(z)})_{\text{sing}} = -2\pi i\rho(z). \tag{4.12}$$

The above discussion is valid for a generic potential W(z). In the following, we use its explicit form (2.16) to determine the resolvent R(z). Then, we find that

$$R_{\text{sing}}(z) = \int_{\sum_{\alpha} C_{\alpha}} \rho(z') d_z \log \left( \frac{E(z, z')}{E(z, z^*)} \right) - \sum_{k=1}^{n} m_k d_z \log \left( \frac{E(z, w_k)}{E(z, z^*)} \right) - 2\pi \sum_{a=1}^{g} p_a \omega_a(z)$$

$$= \int_{\sum_{\alpha} C_{\alpha}} \rho(z') d_z \log E(z, z') - \sum_{k=1}^{n} m_k d_z \log E(z, w_k) - 2\pi \sum_{a=1}^{g} p_a \omega_a(z)$$
(4.13)

does not depend on the base point  $z^*$ , where we used the momentum conservation (2.6) and ignored the subleading term in the large N expansion. We see that  $R(z)_{\text{sing}}$  has cuts in the regions  $C_{\alpha}$  and simple poles with residues  $m_k$  at  $z=w_k$ . Moreover, it is independent on the base point  $z^*$  as expected.

From (4.11), we see that the sign of  $R(z)_{\text{sing}}$  changes across the cuts. Therefore its square only displays singularities at the punctures  $z = w_k$ . From (4.13) we see that these are at most quadratic poles with coefficients  $m_k^2$ . The spectral curve of the generalized matrix model thus reads

$$R_{\text{sing}}(z)^2 = \sum_{k=1}^n m_k^2 \eta(z, w_k) + \zeta(z)$$
(4.14)

where  $\eta(z, w_k)$  are quadratic Strebel differentials, with double pole at  $w_k$ , and  $\zeta(z)$  is a quadratic differential which has at most simple poles at  $w_k$ .  $\zeta(z)$  is determined in terms of n+3g-3 parameters; in particular it depends on the n+2g-3 independent filling fractions  $\nu_{\alpha}$  and the g internal momenta  $p_a$ . Thus, we find that the spectral curve (4.14) of the generalized matrix model (2.18) and the meromorphic differential  $R_{\rm sing}(z)$  coincide with the Seiberg-Witten curve and differential of the corresponding gauge theory.

#### 5 Conclusions

In this paper, we have shown that the perturbative analysis of Liouville correlation functions displays in the large N limit holomorphic factorization of the surface integrals and leads to generalized matrix models, defined on the cover of  $\mathcal{C}_{g,n}$ , which describe the relevant Virasoro conformal blocks. We provided an all genera check of the AGT correspondence by obtaining the Seiberg-Witten data from the saddle point analysis of these generalized matrix models.

We underline that the models presented in this paper could be useful for the exploration of the full set of gauge theories with generalized quiver structure of [3]. Indeed, so far most of the analysis of the AGT correspondence has been focused on the linear and elliptic quiver cases, mainly due to the lack of calculational tools for higher genera. However, to fully exploit the generalized matrix model approach one should be able to extend its analysis to finite N. This would amount to provide

a full derivation at finite N of the holomorphic factorization, which in turn would give a precise prescription for the contour integrals possibly extending the recipe of [18] to higher genera.

Moreover, for gauge groups of higher rank, which according to [12, 13] should correspond to multi-matrix models, this approach could shed light on the description of strongly coupled sectors naturally appearing in the general framework and not admitting a known lagrangian description.

Another very interesting issue to explore is the relation of the generalized matrix models with the quantization of integrable systems [25, 10]. (See also [26].) In particular this could provide an alternative derivation of the quantum Hamiltonians for Hitchin integrable systems and generalize it to higher genera.

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## **Appendix**

## A Addendum: playing with genus 2 curves

A hyperelliptic curve  $C_q$  of genus g is given by the equation

$$y^2 = P_{2g+2}(x)$$

where  $P_{2g+2}$  is a polynomial of degree 2g+2 and is realized  $^{\ddagger}$  in the total space of  $T\mathbb{P}^{\frac{g+1}{2}}$ .

As it is well known, all genus 2 curves are hyperelliptic. These are realized in general by a sextic polynomial equation

$$y^2 = \prod_{i=1}^{6} (x - a_i) \tag{A.1}$$

which we denote by  $C_2$ .

<sup>&</sup>lt;sup>‡</sup>Under conformal inversion x=1/x' on the Riemann sphere, the stability of the description is guaranteed by the transformation  $y=y'x'^{-(g+1)}=y'\left(\frac{\partial x}{\partial x'}\right)^{\frac{g+1}{2}}$ .

The complex structure moduli  $\overline{\mathcal{M}}_{2,0}$  of genus two curves is then obtained by considering the complex parameters  $\{a_i\}$  modulo the action of the permutation group  $S_6$  and the  $\mathbf{P}SL(2,\mathbf{C})$ .

A basis of abelian differentials is given by  $\omega_a = \frac{x^{a-1}dx}{y}$ , a=1,2, while a basis of quadratic differentials is given by  $\phi_\alpha = \frac{x^{\alpha-1}dx^2}{y^2}$ ,  $\alpha=1,2,3$  [27].

The Seiberg-Witten (SW) geometry of the SU(2) theory at genus 2 is specified by a double cover of  $C_2$  in  $T^*C_2$ . As such, this is specified by a general quadratic differential on  $C_2$  in the form

$$w^2 = \Phi_2 \tag{A.2}$$

where  $\Phi_2 = \sum_{\alpha} K_{\alpha} \phi_{\alpha}$  can be expanded in the Coulomb moduli  $K_{\alpha}$  of the theory.

The perturbative expansions of the theory are available in the vicinity of the degeneration locus of the moduli space, namely around

$$\partial \bar{\mathcal{M}}_{2,0} = \bar{\mathcal{M}}_{1,1} \times \bar{\mathcal{M}}_{1,1} \cup \bar{\mathcal{M}}_{1,2}$$
 (A.3)

The second factor in (A.3) is still generically not lagrangian and has to be degenerated as  $\partial \bar{\mathcal{M}}_{1,2} = \bar{\mathcal{M}}_{1,1} \times \bar{\mathcal{M}}_{0,3} \cup \bar{\mathcal{M}}_{0,4}$  to reach corners around which known lagrangian descriptions are available. The first factor in (A.3), being given by two copies of the  $\mathcal{N}=2^*$  SU(2) theory, is already lagrangian. The first degeneration is dividing and the second one is pinching.

Let us discuss the dividing case in detail. This is reached by taking the limit in which three branch points in (A.1) collide. To be concrete, let's fix the position of two of them at 0 and  $\infty$ , write our curve as

$$y^{2} = x(x - a_{1}\epsilon)(x - a_{2}\epsilon)(x - a_{3})(x - a_{4})$$
(A.4)

and take the limit as  $\epsilon \to 0$ . The curve (A.4) becomes in the x coordinate

$$y^2 = x^3(x - a_3)(x - a_4)$$

which, redefining  $y = x\tilde{y}$ , reads

$$\tilde{y}^2 = x(x - a_3)(x - a_4) \tag{A.5}$$

that is the torus with a puncture at x = 0.

Let us check now the SW geometry in the degeneration limit. The issue to discuss is just the scaling of the Coulomb parameters in this limit. Notice that the degeneration is obtained by contracting to zero two nearby branching points, therefore we are saturating a complex structure modulus corresponding to a Beltrami differential  $\mu_{\epsilon}$  with support around the origin of size  $\sim \epsilon$ . This is dual to a holomorphic quadratic differential which, not to have a vanishing overlap integral with  $\mu_{\epsilon}$  should not be zero at x=0. This is uniquely determined to be  $\frac{dx^2}{y^2}$ . Therefore, along the limit with  $\epsilon \to 0$ , the corresponding parameter in the SW curve has to scale away.

As a consequence of the above reasoning, exposing the  $\epsilon$ -parameter, the SW curve is parametrized as

$$w^{2} = \frac{u'\epsilon + m^{2}x + ux^{2}}{y^{2}}(dx)^{2}$$
(A.6)

The degeneration of  $C_2$  is easily kept into account in the SW geometry which becomes

$$w^2 = \frac{m^2 x^{-1} + u}{\tilde{y}^2} (dx)^2 \tag{A.7}$$

The standard parameterization of the punctured torus is in the coordinates where the puncture sits at  $\infty$ . Therefore, we rewrite the elliptic curve (A.5) after the inversion  $x = \frac{1}{x'}$  to pull the puncture at  $x' = \infty$  and redefine accordingly  $\tilde{y} = \frac{1}{(x')^2} \tilde{y}'$ . After this, the SW curve reads

$$w^{2} = \frac{m^{2}x' + u}{(\tilde{y}')^{2}} (dx')^{2}$$

which we can put in the representation with respect to the periodic coordinate via the Weierstrass parameterization  $\hat{y}' = \mathcal{P}(z) + c$  and  $\tilde{y}' = \frac{d}{dz}\mathcal{P}(z)$  so that we stay with

$$w^{2} = \left[ m^{2} \mathcal{P}(z) + (u + cm^{2}) \right] (dz)^{2}$$
(A.8)

which is the SW curve for a copy of the  $\mathcal{N}=2^*$  theory.

The other copy corresponds to the other half in which the original genus 2 surface was split. Let's see how to get this second copy. In order to do it we have to consider the curve in the coordinate appropriate for the other half, namely we have to change (A.4) to  $x = \epsilon/\hat{x}$  and correspondingly  $y = \hat{y} \frac{\epsilon^{3/2}}{(\hat{x})^3}$  after which we get

$$\hat{y}^2 = \hat{x}(1 - a_1\hat{x})(1 - a_2\hat{x})(\epsilon - a_3\hat{x})(\epsilon - a_4\hat{x}). \tag{A.9}$$

(A.9) becomes after the degeneration limit the curve

$$\hat{y}^2 = \hat{x}^3 (1 - a_1 \hat{x}) (1 - a_2 \hat{x}) (a_3 a_4)$$

which we bring to the form of a punctured torus by redefining  $\hat{y} = \tilde{\hat{y}}\hat{x}$  and get

$$\tilde{\hat{y}}^2 = \hat{x}(1 - a_1\hat{x})(1 - a_2\hat{x})(a_3a_4)$$
.

Let's follow now what happens to the SW curve (A.6) in the  $\hat{x}$  patch. This becomes

$$w^{2} = \frac{u' + m^{2}\hat{x}^{-1} + u\epsilon\hat{x}^{-2}}{\hat{y}^{2}}(d\hat{x})^{2}$$
(A.10)

 $<sup>\</sup>S$  The constant c needs to bring (A.5) to the standard Weierstrass form where the quadratic term vanishes and can be computed explicitly.

which in the limit  $\epsilon \to 0$  has the same form of (A.7), but referring to the second punctured torus with an independent Coulomb parameter u'. So, following the same procedure leading to (A.8), we get the second copy of  $\mathcal{N}=2^*$  with an independent gauge coupling and Coulomb parameter but the same mass as the first.

A pinching of the genus 2 curves (A.4) can be obtained by letting  $\epsilon \to \frac{a_3}{a_2}$  for example. In such a case the curve gets to

$$y^{2} = x(x - a_{1}a_{3}/a_{2})(x - a_{3})^{2}(x - a_{4})$$
(A.11)

which is, after renaming  $y = \tilde{y}(x - a_3)$ , the twice punctured torus

$$\tilde{y}^2 = x(x - a_1 a_3 / a_2)(x - a_4). \tag{A.12}$$

Correspondingly, the holomorphic quadratic differential entering the Seiberg-Witten curve (A.6) becomes

$$\Phi_2 \to \frac{u'a_3/a_2 + m^2x + ux^2}{(x - a_3)^2 \tilde{y}^2} (dx)^2$$
(A.13)

which explicitly displays quadratic poles at the two images of  $x = a_3$  with equal coefficients. This coefficient is actually fixed by the Coulomb parameter corresponding to the ungauged group SU(2) at the end of the shrinking of the handle.

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